

Regular Behaviours with Names

On the Rational Fixpoint of Endofunctors on Nominal Sets

Stefan Milius, Lutz Schröder, **Thorsten Wißmann**



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States &
Transitions

(Functor-)
Coalgebras

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Fresh Names,
Renaming
& Binding

On the Rational Fixpoint of Endofunctors on Nominal Sets

Regular Behaviours with Names

Finite
Description

Finitely Presentable Objects
(orbit-finite in Nom)

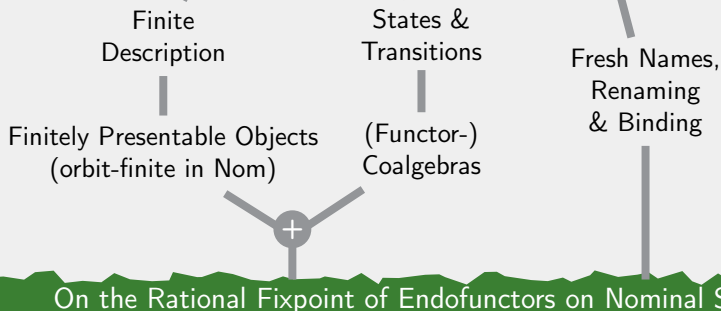
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Regular Behaviours with Names



The Framework of Nominal Sets

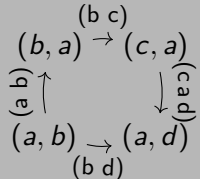
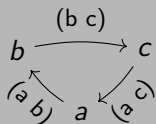
Finite permutations on \mathcal{V}

Support for a $\mathfrak{S}_f(\mathcal{V})$ -action $\cdot : \mathfrak{S}_f(\mathcal{V}) \times X \rightarrow X$

" $S \subseteq \mathcal{V}$ supports $x \in X$ ", if for all $\pi \in \mathfrak{S}_f(\mathcal{V})$

$$\underbrace{\pi \text{ fixes } S}_{\pi(v)=v \ \forall v \in S} \implies \underbrace{\pi \text{ fixes } x}_{\pi \cdot x = x}$$

$$\mathcal{V}^2 + 1 \cong$$



$$\pi \curvearrowright \bullet \curvearrowleft (a b)$$

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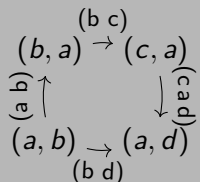
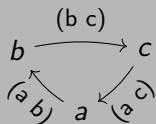
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(X, \cdot) a Nominal Set

" \cdot " a $\mathfrak{S}_f(\mathcal{V})$ -action & every $x \in X$ finitely supported

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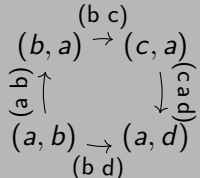
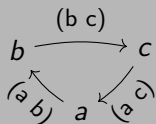
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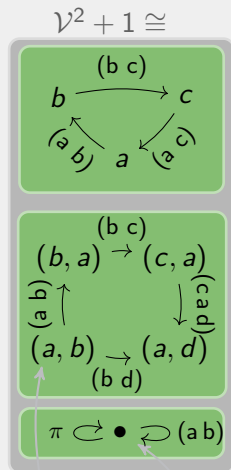
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Either infinite or singleton

What is it good for?

Instances of regular behaviours with names:

- Regular λ -trees

$$LX = \mathcal{V} + \mathcal{V} \times X + X \times X$$

- Regular λ -trees modulo α -equivalence

$$L_\alpha X = \mathcal{V} + [\mathcal{V}]X + X \times X$$

- Regular Nominal Automata

$$KX = 2 \times X^\mathcal{V} \times [\mathcal{V}]X$$

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How to prove them being rational fixpoints
of appropriate endofunctors on nominal sets?

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Instances of regular behaviours with names:

- Regular λ -trees Lifting of a Set-functor (Part 1)

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Quotient of a lifting (Part 2)

q_X

?

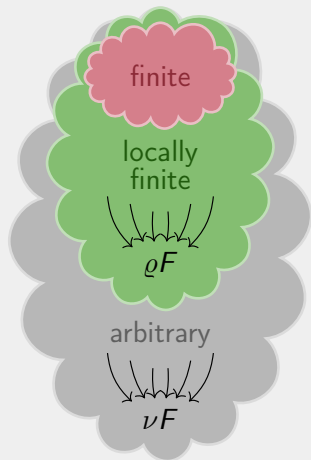
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Part 1: Localizable Liftings

Regular Behaviours in Set

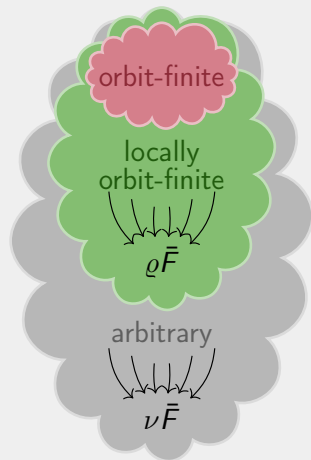
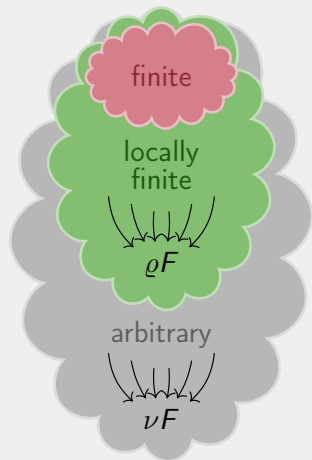
$F : \text{Set} \rightarrow \text{Set}$



Adámek, Milius, Velebil'06; Milius'10

Regular Behaviours in Set

... and in Nom

 $F : \text{Set} \rightarrow \text{Set}$ $\bar{F} : \text{Nom} \rightarrow \text{Nom}$ 

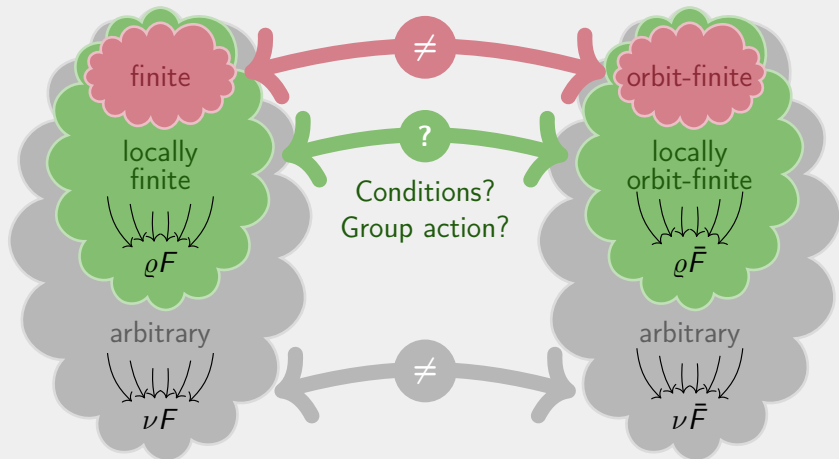
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Regular Behaviours in Set

... and in Nom

If $F : \text{Set} \rightarrow \text{Set}$

... lifts to ...

 $\bar{F} : \text{Nom} \rightarrow \text{Nom}$ 

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Liftings

$\mathfrak{S}_f(\mathcal{V})$ -action on X

T -algebra structure on X for the monad $T = \mathfrak{S}_f(\mathcal{V}) \times _$

Liftings

\iff

Distributive Laws

$$\begin{array}{ccc}
 \text{Set}^T & \xrightarrow{\bar{F}} & \text{Set}^T \\
 U \downarrow & & \downarrow U \\
 \text{Set} & \xrightarrow{F} & \text{Set}
 \end{array}$$

\iff

$\lambda : TF \rightarrow FT$
 preserving
 monad structure

Properties of liftings of $\mathfrak{S}_f(\mathcal{V}) \times _$ over $F : \mathbf{Set}^{\curvearrowright}$ (1)

\bar{F} Nom-restricting

\bar{F} maps nominal sets to nominal sets.

Examples

- Closed under finite products, coproducts, composition.
- For (Y, \cdot) non-nominal, $KX = Y$ not Nom-restricting.

Properties of liftings of $\mathfrak{S}_f(\mathcal{V}) \times _$ over $F : \mathbf{Set}^{\circlearrowleft}$ (2)

$\lambda : \mathfrak{S}_f(\mathcal{V}) \times F_ \rightarrow F(\mathfrak{S}_f(\mathcal{V}) \times _)$ localizable

For each $W \subseteq \mathcal{V}$, λ restricts to $\lambda : \mathfrak{S}_f(W) \times F_ \rightarrow F(\mathfrak{S}_f(W) \times _)$

Examples

- Closed under finite products, coproducts, composition, constants.
- For $F = \text{Id}_{\mathbf{Set}}$, $\lambda(\pi, x) = (g \cdot \pi \cdot g^{-1})$ not localizable.

$\cong \text{Id}_{\mathbf{Set}} \tau$

Assumptions

Assumption: $\bar{F} : \text{Nom}^{\circlearrowleft}$ a localizable lifting, i.e.

- 1 \bar{F} comes from a Nom-restricting distributive law λ over $F = U\bar{F}D$.
- 2 This λ is localizable

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- ① \bar{F} comes from a Nom-restricting distributive law λ over $F = U\bar{F}D$.
- ② This λ is localizable

Examples

- Constants, Identity.
- Closed under finite products, coproducts, composition.
- In particular: Polynomials in Nom
- $LX = \mathcal{V} + \mathcal{V} \times X + X \times X$
- For the strength of any finitary $F : \text{Set}^{\circlearrowleft}$ canonically defines a localizable lifting to Nom

LFP in Set vs LFP in Nom

Lemma

If for $c : C \rightarrow \bar{F}C$, the underlying $c : C \rightarrow FC$ is lfp in Set, then $c : C \rightarrow \bar{F}C$ is lfp in Nom.

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Corollary

$c : C \rightarrow \bar{F}C$ lfp in Nom iff the underlying $c : C \rightarrow FC$ is lfp in Set.

$(\varrho F, r)$ from Set to $\mathfrak{S}_f(\mathcal{V})$ -sets

Lemma

$(\varrho F, r)$ carries a canonical group action making r equivariant.

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Proof.

$$\mathfrak{S}_f(\mathcal{V}) \times \varrho F \xrightarrow{\text{id} \times r} \mathfrak{S}_f(\mathcal{V}) \times F(\varrho F) \xrightarrow{\lambda_{\varrho F}} F(\mathfrak{S}_f(\mathcal{V}) \times \varrho F)$$

is lfp because λ is localizable.

νF has canonical $\mathfrak{S}_f(\mathcal{V})$ -set structure (Bartels'04; Plotkin, Turi'97)

This map is just the restriction to ϱF . □

Coinduction

Definition: Coalgebra iteration

For $c : C \rightarrow HC$ put $c^{(n+1)} \equiv (C \xrightarrow{c^{(n)}} H^n C \xrightarrow{H^n c} H^{n+1} C)$.

Lemma

Let $H : \text{Set} \rightarrow \text{Set}$ be finitary. If for H -coalgebras (C, c) and (D, d)

$$\begin{array}{ccccc}
 X & \xrightarrow{p_1} & C & \xrightarrow{c^{(n)}} & H^n C \\
 & \searrow p_2 & & & \searrow H^n! \\
 & & D & \xrightarrow{d^{(n)}} & H^n D \xrightarrow{H^n!} H^{n+1} D
 \end{array}$$

commutes for all $n < \omega$, then $c^\dagger \cdot p_1 = d^\dagger \cdot p_2$.

Finite support for ϱF

Lemma

Any $t \in \varrho F$ is supported by

$$s(t) = \bigcup_{n \geq 0} \text{supp}(r^{(n)}(t)) \quad \text{where } r^{(n)} : \varrho F \rightarrow F^n(\varrho F)$$

and where the support of $r^{(n)}(t)$ is taken in $\bar{F}^n D(\varrho F)$.

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Lemma

For any $t \in \varrho F$, $s(t)$ is finite.

Universal Property

Theorem

The lifted $(\varrho F, r)$ is the rational fixpoint of \bar{F} .

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Proof.

Consider $c : C \rightarrow \bar{F}C$ with C orbit-finite.

- 1 c is lfp in Set, then $c^\dagger : (C, c) \rightarrow (\varrho F, r)$ in Set
- 2 Equivariant $j : (\varrho F, r) \rightarrow (\nu F, \tau)$ in $\mathfrak{S}_f(\mathcal{V})$ -sets Not in Nom
- 3 Equivariant $j \cdot c^\dagger : (C, c) \rightarrow (\nu F, \tau)$ in $\mathfrak{S}_f(\mathcal{V})$ -sets
- 4 $c^\dagger : (C, c) \rightarrow (\varrho F, r)$ equivariant



Examples

λ -trees $LX = \mathcal{V} + \mathcal{V} \times X + X \times X$

- $\rho\bar{L}$ in Nom = rational λ -trees (not modulo α -equivalence)
- νL in Set = all λ -trees
- $\nu\bar{L}$ in Nom = λ -trees involving finitely many variables

Kurz, Petrisan, Severi, de Vries'13

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Canonical Liftings $\bar{F} : \text{Nom}^{\circlearrowleft}$ of $F : \text{Set}^{\circlearrowleft}$

$\varrho\bar{F}$ in Nom = ϱF with discrete nominal structure

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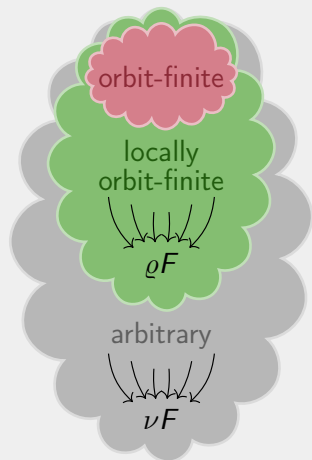
Unordered Trees: $FX = \mathcal{B}(X) + \mathcal{V}$

- νF = unordered trees with some leaves labelled in \mathcal{V}
- ϱF = those with finitely many subtrees
- $\varrho\bar{F}$ = those with renaming of the leaves

Part 2: Quotients of Nom-functors

Regular Behaviours in Nom

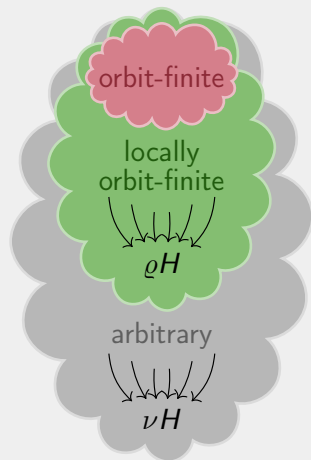
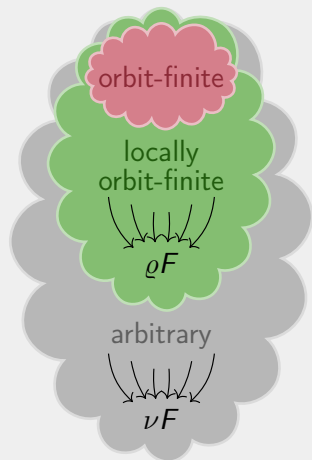
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Regular Behaviours in Nom ... and their quotients

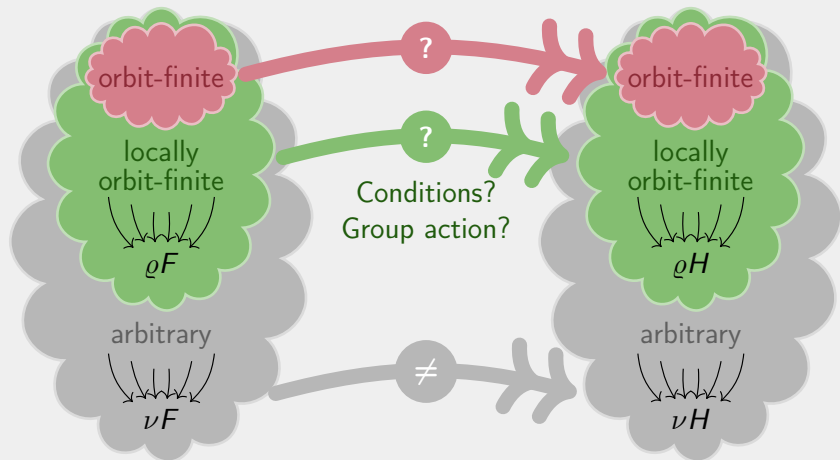
$F : \text{Nom} \rightarrow \text{Nom}$

$H : \text{Nom} \rightarrow \text{Nom}$



Regular Behaviours in Nom ... and their quotients

$$F : \text{Nom} \rightarrow \text{Nom} \xrightarrow{q} H : \text{Nom} \rightarrow \text{Nom}$$



Quotients of coalgebras

$$F : \text{Nom}^{\downarrow} \xrightarrow{q} H : \text{Nom}^{\downarrow}$$

Definition: Quotient

A quotient from $a : A \rightarrow FA$ to $c : C \rightarrow HC$:

$$\text{some } h : A \rightarrow C \quad \text{with} \quad \begin{array}{ccccc} A & \xrightarrow{a} & FA & \xrightarrow{q_A} & HA \\ h \downarrow & & & & \downarrow Hh \\ C & \xrightarrow{c} & & \longrightarrow & HC \end{array}$$

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If every orbit-finite H -coalgebra is a quotient of an orbit-finite F -coalgebra, then ϱH is a quotient of ϱF .

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Proof.

Epi-laws for jointly-epic the families. □

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Constructing a quotient backwards

Definition

$$X < Y = \{(x, y) \in X \times Y \mid \text{supp}(x) \subseteq \text{supp}(y)\}$$

Substrength of a functor F : $s_{X,Y} : FX < Y \rightarrow F(X < Y)$,
with $F \text{ outl} \cdot s_{X,Y} = \text{outl}$ (not necessarily natural).

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Construction for $c : C \rightarrow HC$

$$B = \max_{x \in C} |\text{supp}(x)| + \max_{x \in C} \min_{\substack{y \in FC \\ q_C(y) = c(x)}} |\text{supp}(y)|.$$

$W \subseteq \mathcal{V}^B$ of tuples with distinct components.
 F -Coalgebra on $C < W$.

Something like “projective objects” in Nom

Definition: strongly supported

Some $x \in X$ is **strongly supported** iff

$$\pi \cdot x = x \implies \forall v \in \text{supp}(x) : \pi(v) = v$$

Examples

W is strongly supported. $\mathcal{P}_f(\mathcal{V})$ not.

Proposition (Mentioned already in Kurz, Petrisan, Velebil’10)

X, Y nominal sets, X strongly supported, $O \subseteq X$ a choice of one element from each orbit. Then any map $f_0 : O \rightarrow Y$ with

$$\text{supp}(f_0(x)) \subseteq \text{supp}(x)$$

extends uniquely to an equivariant $f : X \rightarrow Y$.

Applied to our $C < W$

Lemma

There is an equivariant map $f : C < W \rightarrow FC$ such that:

$$\begin{array}{ccc} C < W & \xrightarrow{f} & FC \\ \text{outl} \downarrow & & \downarrow q_C \\ C & \xrightarrow{c} & HC \end{array}$$

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Corollary

If a finitary $F : \text{Nom} \rightarrow \text{Nom}$ has a substrength, and $q : F \rightarrow H$, then $qF \rightarrow qH$ (applying q level-wise).

Applicability

The only restricting requirement: F having a sub-strength H and q : arbitrary

Lemma

- 1 Identity and constant functors have a sub-strength.
- 2 The class of functors with a sub-strength is closed under finite products, arbitrary coproducts, and functor composition.

Example: λ -trees modulo α -equivalence

$$LX = \mathcal{V} + \mathcal{V} \times X + X \times X \xrightarrow{q} L_\alpha X = \mathcal{V} + [\mathcal{V}]X + X \times X$$

Definition: Rational α -equivalence class of λ -trees

= contains some rational λ -tree

λ -trees

- ρL = rational λ -trees
- νL = λ -trees with finitely many variables involved

λ -trees modulo α -equivalence

- ρL_α = rational λ -trees modulo α -equivalence
- νL_α = λ -trees with finitely many **free** variables but possibly **infinitely** many bound variables

Kurz, Petrisan, Severi, de Vries'13

Example: Exponentiation

$$FX = \mathcal{V} \times X \times \coprod_{n \in \mathbb{N}} (\mathcal{V} \times X)^n \xrightarrow{q} (_)^\mathcal{V}$$

Definition

$$\begin{aligned} \bar{q}_X(a, d, (v_1, x_1), \dots, (v_n, x_n), b) \\ = \begin{cases} x_i & \text{if } i = \min_{1 \leq j \leq n} (v_j = b) \text{ exists} \\ (a \ b) \cdot d & \text{otherwise.} \end{cases} \end{aligned}$$

Theorem: q component-wise surjective

For some $f \in X^\mathcal{V}$, $\{a_1, \dots, a_n\} = \text{supp}(f)$ and $a \in \mathcal{V} \setminus \text{supp}(f)$, we have

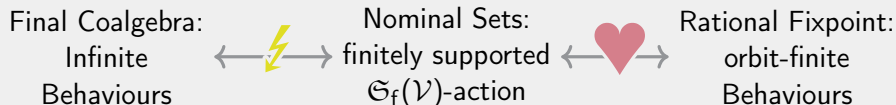
$$\bar{q}_X(a, f(a), (a_1, f(a_1)), \dots, (a_n, f(a_n)), b) = f(b) \text{ for all } b \in \mathcal{V}.$$

Example: Automata

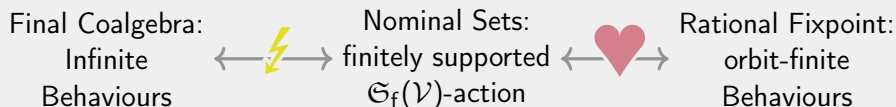
Various Kinds of Nominal Automata

- $FX = 2 \times X^{\mathcal{V}}$
- $KX = 2 \times X^{\mathcal{V}} \times [\mathcal{V}]X$
- $NX = 2 \times \mathcal{P}_f(X^{\mathcal{V}}) \times \mathcal{P}_f([\mathcal{V}]X)$

Main Results



Main Results



 fails

- If $\bar{F} : \text{Nom}^{\circlearrowleft}$ is localizable lifting of $F : \text{Set}^{\circlearrowleft}$ then $\varrho\bar{F}$ is ϱF with canonical $\mathfrak{S}_f(\mathcal{V})$ -action
- If $G : \text{Nom}^{\circlearrowleft}$ is a quotient $H : \text{Nom}^{\circlearrowleft}$ with a substrength then ϱG is a quotient of ϱH

Open Questions

About Localizable Liftings

- Is every non-localizable Lifting isomorphic to localizable one?
- If not, are there applications of non-localizable liftings?

About Substrengths

- Rational Fixpoint of quotients of functors without substrength?
- Are there applications?



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