

# Path Category for Free

Open Morphisms from Coalgebras  
with Non-Deterministic Branching

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# Categorical Approaches to Bisimilarity

Transition type

Functor  $F: \mathbb{C} \rightarrow \mathbb{C}$

Coalgebra

Def. Coalgebra  
Homomorphism  
...

Def. Bisimulation  
Span of Coalgebra  
Homomorphisms

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Paths

Models

(Sub)category  $J: \mathbb{P} \leftrightarrow \mathbb{M}$

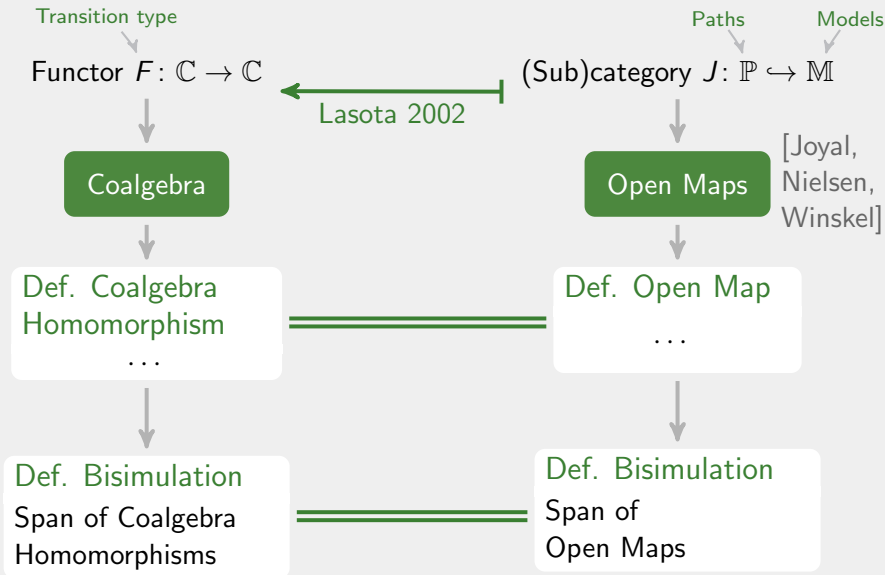
Open Maps

[Joyal,  
Nielsen,  
Winskel]

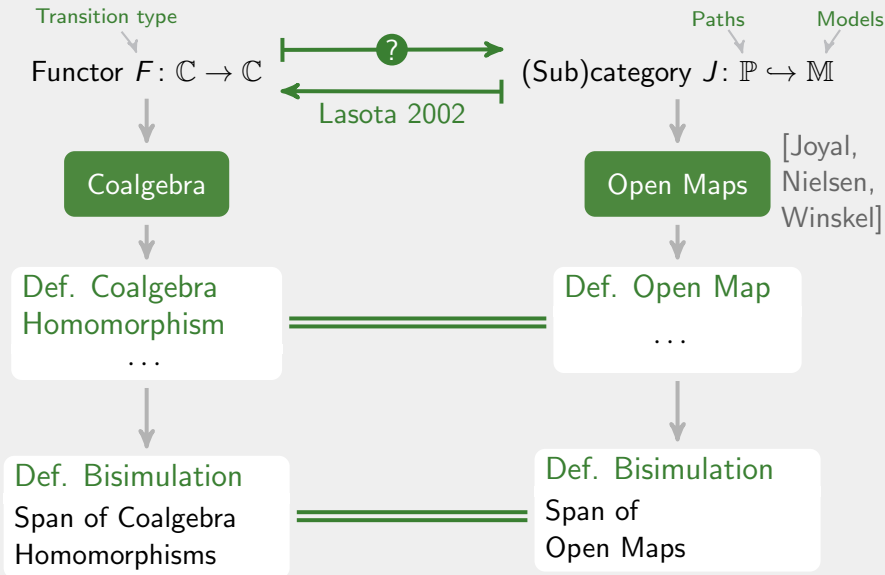
Def. Open Map  
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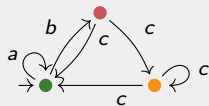
# Categorical Approaches to Bisimilarity



# Motivating Example: Category $LTS_A$

Objects:  $(X, x_0, \Delta)$

states  $X$ , initial state  $x_0 \in X$ , transitions  $\Delta \subseteq X \times A \times X$ .



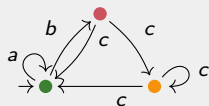
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$f(x_0) = y_0$    &    $x \xrightarrow{a} x'$  in  $X$     $\implies$     $f(x) \xrightarrow{a} f(x')$  in  $Y$



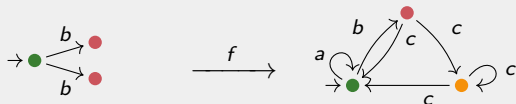
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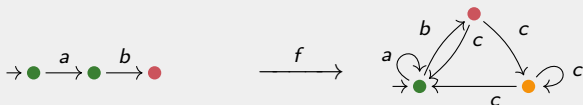
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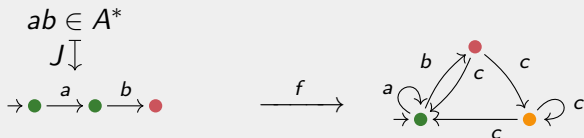
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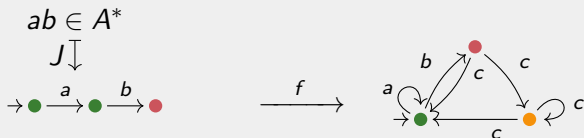
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Paths

prefix order

Functor  $J: (A^*, \leq) \longrightarrow LTS_A$

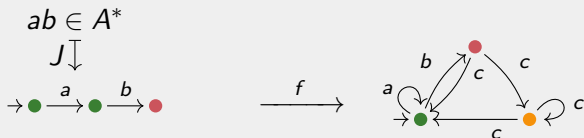
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Run of  $w \in A^*$  in  $(X, x_0, \Delta)$

$f: Jw \rightarrow (X, x_0, \Delta)$  in  $LTS_A$

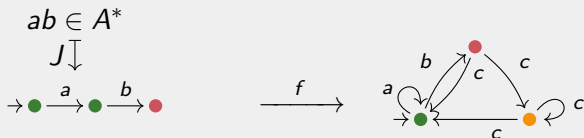
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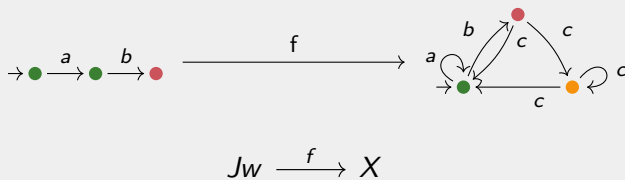
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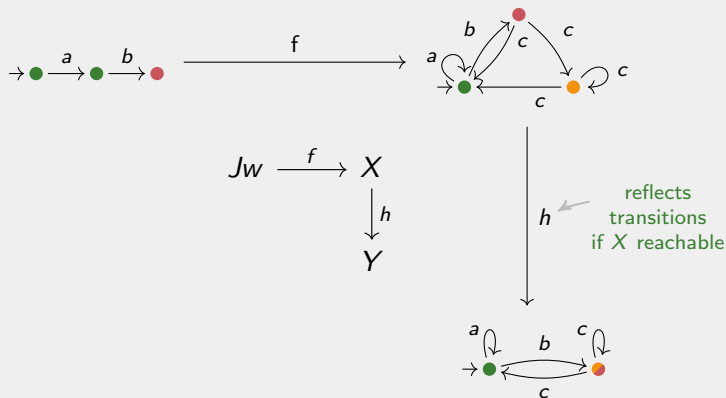
Run of  $w \in \mathbb{P}$  in  $M \in \mathbb{M}$

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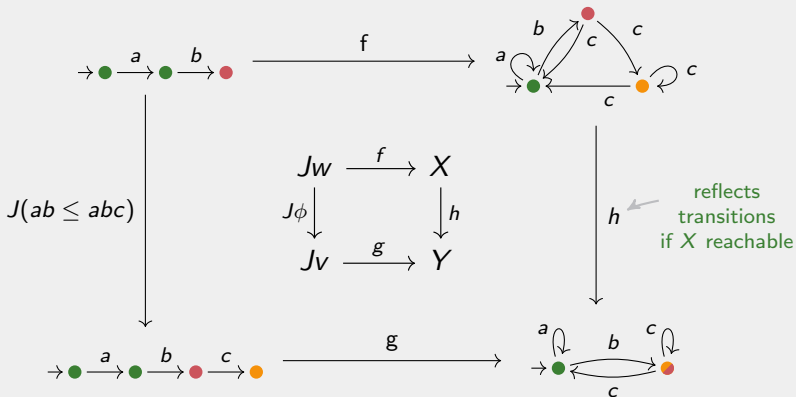
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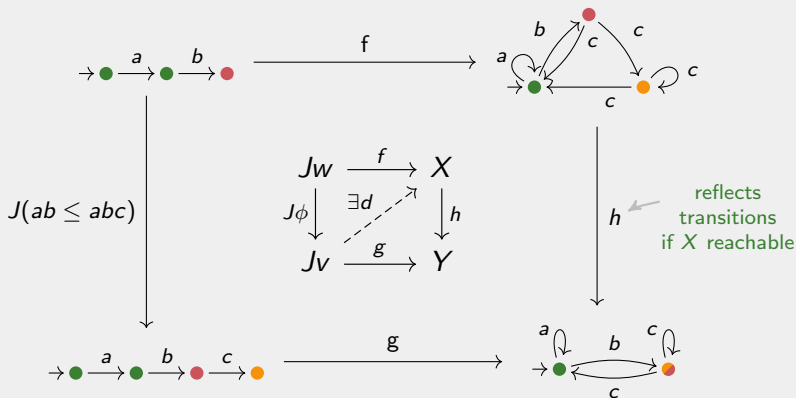


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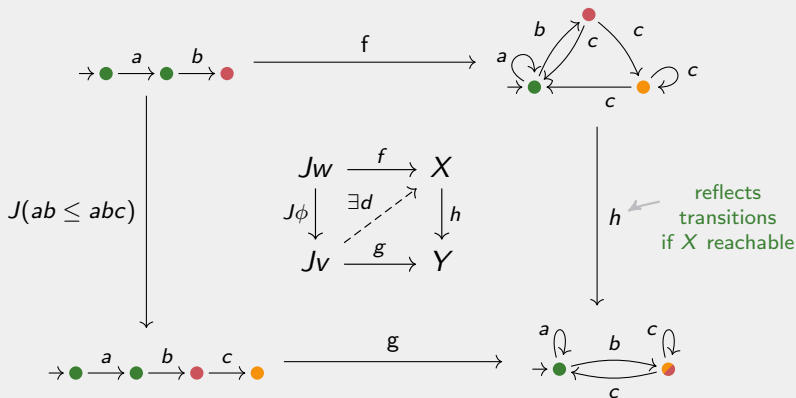




# Open Maps



# Open Maps



**Definition:**  $h$  open...

..., if for every such square, there exists some diagonal lifting  $d$ .

# Pointed Coalgebras & Lax homomorphisms

$$\text{LTS}_A \quad \iff \quad \text{LCoalg}(1, \mathcal{P}(A \times (-)))$$

$$\begin{aligned} X, x_0 \in X, \\ \Delta \subseteq X \times A \times X \end{aligned}$$

 $\iff$ 

1-pointed  $\mathcal{P}(A \times (-))$ -coalgebra

$$1 \xrightarrow{x_0} X \xrightarrow{\xi} \mathcal{P}(A \times X)$$

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$$(X, x_0, \Delta)$$

$$h \downarrow$$

$$(Y, y_0, \Delta')$$

 $\iff$ 

Lax Coalgebra Homomorphism

Point-wise order  $\subseteq$  on  $\text{Set}(X, \mathcal{P}Z)$

$$\begin{array}{ccccc} 1 & \xrightarrow{x_0} & X & \xrightarrow{\xi} & \mathcal{P}(A \times X) \\ & \searrow & \downarrow h & \text{in} & \downarrow \mathcal{P}(A \times h) \\ & & Y & \xrightarrow{\zeta} & \mathcal{P}(A \times Y) \end{array}$$

$\circlearrowleft$   $y_0$

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$$h: X \rightarrow Y$$

is open

 $\iff$   
 $X$  reachable

$h$  (proper) coalgebra homomorphism

$$\zeta \cdot h = \mathcal{P}(A \times h) \cdot \xi$$

# Pointed Coalgebras & Lax homomorphisms

$$\text{LTS}_A \iff \text{LCoalg}(I, T \cdot F) \quad \text{e.g. } \begin{array}{l} TX = \mathcal{P}X \\ FX = A \times X \end{array}$$

$$\begin{array}{l} X, x_0 \in X, \\ \Delta \subseteq X \times A \times X \end{array} \iff \begin{array}{l} I\text{-pointed } T(F(-))\text{-coalgebra} \\ I \xrightarrow{x_0} X \xrightarrow{\xi} T(FX) \end{array}$$

## Lax Coalgebra Homomorphism

Point-wise order  $\subseteq$  on  $\mathbb{C}(X, TZ)$

$$(X, x_0, \Delta)$$

$$h \downarrow$$

$$(Y, y_0, \Delta')$$

 $\iff$ 

$$\begin{array}{ccccc} I & \xrightarrow{x_0} & X & \xrightarrow{\xi} & T(FX) \\ & \searrow & \downarrow h & \lrcorner & \downarrow T(Fh) \\ & & Y & \xrightarrow{\zeta} & T(FY) \end{array}$$

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$$\zeta \cdot h = T(Fh) \cdot \xi$$


# Main Result

## Theorem


Given:

- Functors  $T, F: \mathbb{C} \rightarrow \mathbb{C}$  with order  $\subseteq$  on  $\mathbb{C}(X, TY)$
- $F$  admits precise factorizations w.r.t.  $\mathcal{S} \subseteq |\mathbb{C}|$  
- $\text{Id} \xrightarrow{\eta} T \xleftarrow{\perp} 1$  plus axioms ( $T$  powerset-like) 

Then there is a path category  $\text{Path}(I, F + 1)$    
 and for every  $h: X \rightarrow Y$  in  $\text{LCoalg}(I, TF)$ :

- $h$  open &  $X$  reachable   $\implies h$  coalgebra homomorphism
- $h$  coalgebra homomorphism  $\implies h$  open

Canonical Trace Semantics:  $\text{LCoalg}(I, TF) \rightarrow \bigsqcup_{n \geq 0} \mathbb{C}(I, F^n 1)$

$\text{trace}(X) = \{[w] \mid Jw \rightarrow X\}$   preserved by coalgebra hom.

### Definition: $F$ -precise morphism

$f: X \rightarrow FY$  is  $F$ -precise if

$$\begin{array}{ccc}
 X & \xrightarrow{g} & FW \\
 f \downarrow & & \downarrow Fw \\
 FY & \xrightarrow{Fz} & FZ
 \end{array}
 \xRightarrow{\exists d}
 \begin{array}{ccc}
 X & \xrightarrow{g} & FW \\
 f \downarrow & \nearrow & \\
 FY & & Fd
 \end{array}
 \quad \& \quad
 \begin{array}{ccc}
 & & C \\
 & \nearrow d & \downarrow w \\
 Y & \xrightarrow{z} & D
 \end{array}$$



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## Intuition in Sets

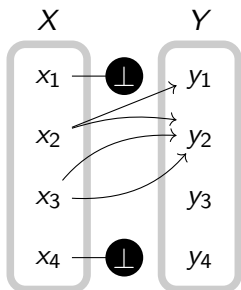
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is  $F$ -precise



every  $y \in Y$  is  
mentioned precisely once  
in the definition of  $f$

$F$ -precise = every  $y \in Y$  is mentioned precisely once

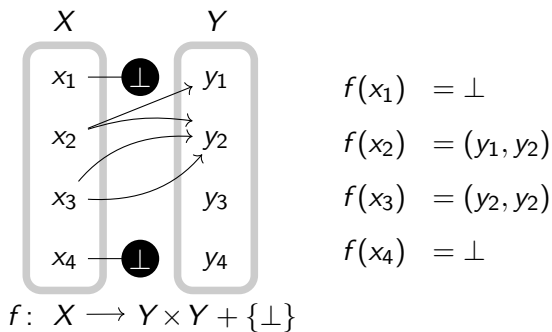
Example:  $FY = Y \times Y + \{\perp\}$  and  $f: X \rightarrow FY$



$$f: X \rightarrow Y \times Y + \{\perp\}$$

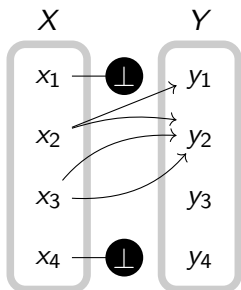
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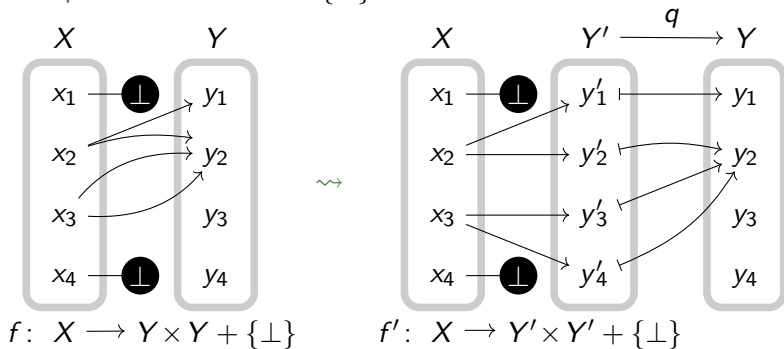
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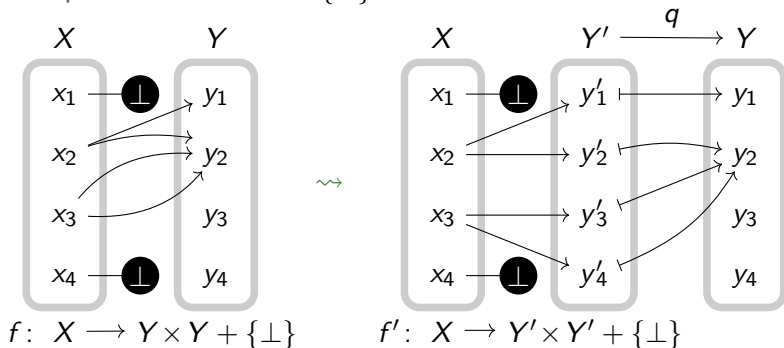
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Def.:  $F: \mathbb{C} \rightarrow \mathbb{C}$  admits precise factorizations w.r.t.  $\mathcal{S} \subseteq |\mathbb{C}|$

$\forall f, X \in \mathcal{S},$   
 $\exists Y' \in \mathcal{S}, f'$  precise:

$$\begin{array}{ccc} X & \xrightarrow{\exists f'} & FY' \\ & \searrow \forall f & \downarrow Fq \\ & & FY \end{array}$$

## Proposition

The following functors admit precise factorizations w.r.t.  $\mathcal{S}$ :

- 1 Constant functors if  $0 \in \mathcal{S}$
- 2 Products of such functors if  $\mathcal{S}$  closed under products
- 3 Coproducts of such functors if  $\mathbb{C}$  extensive and  $\mathcal{S}$  closed under coproducts
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## Examples

- 1 Polynomial functors
- 2 Analytic functors, e.g. the bag functor (finite multisets)
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## Non-Example


Powerset  $\mathcal{P}$  because  $f(x) = \{y\} = \{y, y\}$


# Main Result

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 and for every  $h: X \rightarrow Y$  in  $\text{LCoalg}(I, TF)$ :

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Path  $P$  of length  $n$ 

$$I = P_0 \xrightarrow{p_0} FP_1 \quad P_1 \xrightarrow{p_1} FP_2 \quad \cdots \quad FP_{n+1}$$

  
 $F$ -precise map  
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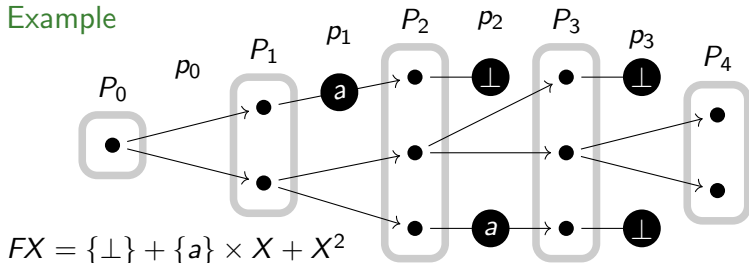
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## Definition: Category $\text{Path}(I, F)$

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$$\phi_0 \downarrow \cong$$

$$F\phi_0 \downarrow$$

$$\phi_1 \downarrow \cong$$

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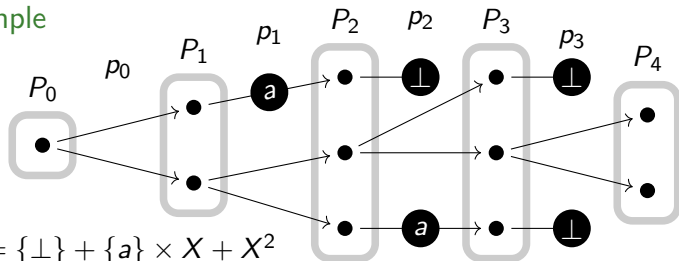
$$\dots \quad F\phi_{n+1} \downarrow \cong$$

prefix order

$m \geq n$

$$I = Q_0 \xrightarrow{q_0} FQ_1 \quad Q_1 \xrightarrow{q_1} FQ_2 \quad \dots \quad FQ_{n+1} \quad \dots \quad FQ_{m+1}$$

## Example



$$FX = \{\perp\} + \{a\} \times X + X^2$$

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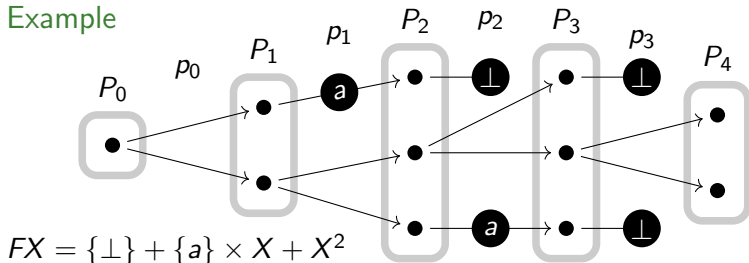
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 I = Q_0 & \xrightarrow{q_0} & FQ_1 & Q_1 & \xrightarrow{q_1} & FQ_2 & \cdots & FQ_{n+1} \cdots FQ_{m+1}
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$m \geq n$

← prefix order

## Example



## Relation to final chain of $F$

Full functor  $\text{Path}(I, F) \rightarrow (\bigsqcup_{n \geq 0} \mathbb{C}(I, F^n 1), \leq)$

Truncation order

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Then there is a path category  $\text{Path}(I, F + 1)$  ✓  
 and for every  $h: X \rightarrow Y$  in  $\text{LCoalg}(I, TF)$ :

- $h$  open &  $X$  reachable ?  $\implies h$  coalgebra homomorphism
- $h$  coalgebra homomorphism  $\implies h$  open

Canonical Trace Semantics:  $\text{LCoalg}(I, TF) \rightarrow \bigsqcup_{n \geq 0} \mathbb{C}(I, F^n 1)$

$\text{trace}(X) = \{[w] \mid Jw \rightarrow X\}$  ← preserved by coalgebra hom.

Pointings:  $\text{Id} \xrightarrow{\eta} T \xleftarrow{\perp} 1$  plus Axioms (powerset-like)

singletons  $\swarrow$  bottom  $\swarrow$   
 $\eta$   $\perp$

Open map situation  $J: \text{Path}(I, F + 1) \rightarrow \text{LCoalg}(I, TF)$

$$\begin{array}{ccc}
 P_0 \xrightarrow{p_0} FP_1 + 1 & P_1 \xrightarrow{p_1} FP_2 + 1 & \\
 & \downarrow J & \\
 P_0 + P_1 + P_2 \longrightarrow F(P_1 + P_2) + 1 & \xrightarrow{[\eta, \perp]} & TF(P_0 + P_1 + P_2)
 \end{array}$$

# Main Result

## Theorem

Given:

- Functors  $T, F: \mathbb{C} \rightarrow \mathbb{C}$  with order  $\subseteq$  on  $\mathbb{C}(X, TY)$
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# Reachability

$$J: \text{Path}(I, F + 1) \longrightarrow \text{LCoalg}(I, TF)$$

**Definition:**  $(X, x_0, \xi) \in \text{LCoalg}(I, TF)$  is reachable  
..., if the runs  $f: JP \rightarrow (X, x_0, \xi)$  are jointly surjective.

## Theorem

$(X, x_0, \xi)$  is reachable iff it has no proper subcoalgebra.

Coalgebraic definition  
of reachability

# Main Result

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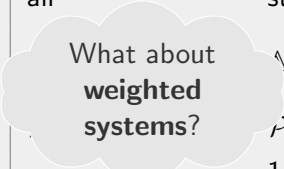
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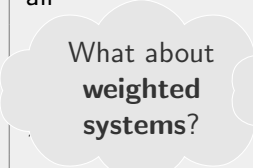
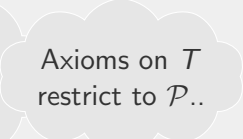
Instances	Tree Automata
$\mathbb{C}$	Set
$\mathcal{S} \subseteq  \mathbb{C} $	all
$I$	1
$T$	$\mathcal{P}, \mathcal{P}_f$
$F(X)$	analytic functors: polynomials $\Sigma$ , finite multisets
$\mathbb{C}(I, F^n 1)$	Trees, height $n$
trace	Tree language



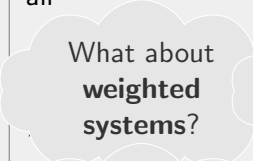
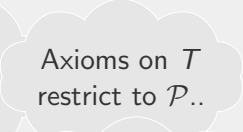
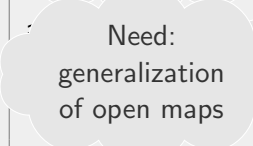
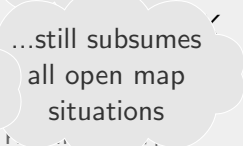
Instances	Tree Automata	Nominal Automata
$\mathbb{C}$	Set	Nominal Sets
$\mathcal{S} \subseteq  \mathbb{C} $	all	strong ones
$I$	1	$\mathbb{A}^{\#k}$
$T$	$\mathcal{P}, \mathcal{P}_f$	$\mathcal{P}_{\text{ufs}}, \mathcal{P}_f$
$F(X)$	analytic functors: polynomials $\Sigma$ , finite multisets	$1 + [\mathbb{A}]X + \mathbb{A} \times X$ (RNNA) [Schröder, Milius Kozen, W, '17]
$\mathbb{C}(I, F^n 1)$	Trees, height $n$	bar-strings $ \text{support}  \leq k$
trace	Tree language	bar language

Instances	Tree Automata	Nominal Automata	Lasota's construction for $\mathbb{P} \hookrightarrow \mathbb{M}$ [Lasota '02]
$\mathbb{C}$	Set	Nominal Sets	$\text{Set}^{ \mathbb{P} }$
$\mathcal{S} \subseteq  \mathbb{C} $	all	strong ones	all
$I$	1	$\mathbb{A}^{\#k}$	$I_0 = 1, I_P = \emptyset$
$T$	$\mathcal{P}, \mathcal{P}_f$	$\mathcal{P}_{\text{ufs}}, \mathcal{P}_f$	$\mathcal{P}$ (per component)
$F(X)$	analytic functors: polynomials $\Sigma$ , finite multisets	$1 + [\mathbb{A}]X + \mathbb{A} \times X$ (RNNA) [Schröder, Milius Kozen, W, '17]	$(\bigsqcup_{Q \in \mathbb{P}} \mathbb{P}(P, Q) \times X_Q)_{P \in \mathbb{P}}$
$\mathbb{C}(I, F^n 1)$	Trees, height $n$	bar-strings $ \text{support}  \leq k$	$0 \rightarrow P_1 \cdots \rightarrow P_n$ in $\mathbb{P}$
trace	Tree language	bar language	Composition has run

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$T$			$\mathcal{P}$ (per component)
$F(X)$	analytic functors: polynomials $\Sigma$ , finite multisets	$1 + [\mathbb{A}_J X + \mathbb{A} \times X$ (RNNA) [Schröder, Milius Kozen, W, '17]	$(\bigsqcup_{Q \in \mathbb{P}} \mathbb{P}(P, Q) \times X_Q)_{P \in \mathbb{P}}$
$\mathbb{C}(I, F^{n1})$	Trees, height $n$	bar-strings $ \text{support}  \leq k$	$0 \rightarrow P_1 \cdots \rightarrow P_n$ in $\mathbb{P}$
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$T$			$\mathcal{P}$ (per component)
$F(X)$	analytic functors: polynomials $\Sigma$ , finite multisets	...still subsumes all open map situations	$(\bigsqcup_{Q \in \mathbb{P}} \mathbb{P}(P, Q) \times X_Q)_{P \in \mathbb{P}}$
$\mathbb{C}(I, F^n 1)$	Trees, height $n$	bar-strings $ \text{support}  \leq k$	$0 \rightarrow P_1 \cdots \rightarrow P_n$ in $\mathbb{P}$
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## References



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“Bisimulation from Open Maps”. In: **Information and Computation** 127 (1996), pp. 164–185.



Sławomir Lasota. “Coalgebra morphisms subsume open maps”. In: **Theoretical Computer Science** 280.1 (2002). Coalgebraic Methods in Computer Science, pp. 123–135. ISSN: 0304-3975. DOI: [https://doi.org/10.1016/S0304-3975\(01\)00023-8](https://doi.org/10.1016/S0304-3975(01)00023-8). URL: <http://www.sciencedirect.com/science/article/pii/S0304397501000238>.

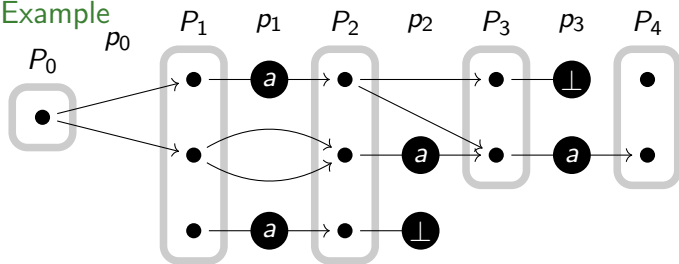




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$$FX = \{a\} \times X + X \times X, \quad I = \{\bullet\}, \quad p_k: P_k \rightarrow FP_{k+1} + \{\perp\}$$

Non-Example



## Tree automata in Sets

$I = 1$ ,  $T$  is  $\mathcal{P}$  or  $\mathcal{P}_f$ ,  $F$  is analytic.

$$FX = \coprod_{\sigma/n \in \Sigma} X^n / G_\sigma$$

## Path( $I, F$ )

Path of length  $n =$  'partial'  $F$ -tree of height  $n$ .

## $TF$ -coalgebra homomorphisms

... are open morphisms and thus preserve & reflect tree languages.

## RNNA

Schröder, Kozen, Milius, Wißmann '17

$TF$ -coalgebra for  $T = \mathcal{P}_{\text{ufs}}$        $FX = 1 + \mathbb{A} \times X + [\mathbb{A}]X$   
 $I := \mathbb{A}^{\#n}$ , fixed  $n \in \mathbb{N}$        $\mathcal{S} = \text{Strong nominal sets}$

 $F$ -precise maps

- ... don't lose support
- ... don't lose order in the support
- if  $f: \mathbb{A}^{\#n} \rightarrow FY$  is  $F$ -precise, then  $Y = \mathbb{A}^{\#m}$  with  $n \leq m \leq n + 1$ .

Path( $I, F$ )

Finite sequence of  $F + 1$ -precise maps  
 $\Rightarrow$  essentially bar strings

Lasota's construction for arbitrary  $J: \mathbb{P} \hookrightarrow \mathbb{M}$ 

Lasota '02

Let  $J0_{\mathbb{P}} = 0_{\mathbb{M}}$  and the pointing<sup>a</sup>  $I = \chi^{0_{\mathbb{P}}}$  elsewhere.

$$\mathbb{F}: \text{Set}^{|\mathbb{P}|} \rightarrow \text{Set}^{|\mathbb{P}|} \quad \mathbb{F}: (X_P)_{P \in \mathbb{P}} \mapsto \left( \prod_{Q \in \mathbb{P}} \mathcal{P}(X_Q)^{\mathbb{P}(P, Q)} \right)_{P \in \mathbb{P}}$$

Functor Beh:  $\mathbb{M} \rightarrow \text{LCoalg}(I, \mathbb{F}), M \mapsto (\mathbb{M}(P, M))_{P \in \mathbb{P}} \dots$

---

<sup>a</sup>No pointing in [Las02]

$$\mathbb{F} = T \cdot F$$

$$T(X_P)_{P \in \mathbb{P}} = (\mathcal{P}X_P)_{P \in \mathbb{P}} \quad F(X_P)_{P \in \mathbb{P}} = \left( \prod_{Q \in \mathbb{P}} \mathbb{P}(P, Q) \times X_Q \right)_{P \in \mathbb{P}}$$

Path-category  $\text{Path}(I, F)$ 

$f: \chi^P \rightarrow FY$   $F$ -precise iff  $Y = \chi^Q$  for some  $Q \in \mathbb{P}$

$\Rightarrow$  objects in  $\text{Path}(I, F)$  are:  $0_{\mathbb{P}} \xrightarrow{m_1} P_1 \xrightarrow{m_2} P_2 \dots \xrightarrow{m_n} P_n$

# All the axioms

**F**  $F + 1$  admits precise factorizations, w.r.t.  $\mathcal{S}$  and  $I \in \mathcal{S}$

**T** If  $(e_i: X_i \rightarrow Y)_{i \in I}$  jointly epic, then  $f \cdot e_i \sqsubseteq g \cdot e_i$  for all  $i \in I \Rightarrow f \sqsubseteq g$ .

$[\eta, \perp]: \text{Id} + 1 \rightarrow T$ , with  $\perp_Y \cdot !_X \sqsubseteq f$  for all  $f: X \rightarrow TY$

For every  $f: X \rightarrow TY$ ,  $X \in \mathcal{S}$ ,

$f = \sqcup \{[\eta, \perp]_Y \cdot f' \sqsubseteq f \mid f': X \rightarrow Y + 1\}$

$$\forall A \in \mathcal{S} \quad \begin{array}{ccc} A & \xrightarrow{x} & TX \\ y \downarrow & \sqsubset & \downarrow Th \\ Y + 1 & \xrightarrow{[\eta, \perp]_Y} & TY \end{array} \xRightarrow{\exists x'} \begin{array}{ccccc} & & x & & \\ & & \curvearrowright & & \\ A & \xrightarrow{x'} & X + 1 & \xrightarrow{[\eta, \perp]_X} & TX \\ & \searrow y & \downarrow h+1 & & \downarrow Th \\ & & Y + 1 & \xrightarrow{[\eta, \perp]_Y} & TY \end{array}$$