

# Bisimulation-Based Process Algebra in Higher-Order GSOS

Master's Thesis

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# Process Algebra

- model the behaviour of concurrent systems
- CCS (Milner), CSP (Hoare),  $\pi$ -calculus
- Bisimilarity can serve as the semantic property distinguishing processes

## Key Property

Bisimilarity is a Congruence

A process algebra featuring

- the deadlocked process

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<sup>1</sup>Milner, *A Calculus of Communicating Systems*.

A process algebra featuring

- the deadlocked process
- action prefixing

$\emptyset$

$\alpha \cdot P$

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# CCS<sup>1</sup>

A process algebra featuring

- the deadlocked process
- action prefixing
- nondeterministic choice

$$\emptyset$$
$$\alpha \cdot P$$
$$P + Q$$

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<sup>1</sup>Milner, *A Calculus of Communicating Systems*.

A process algebra featuring

- the deadlocked process
- action prefixing
- nondeterministic choice
- parallel composition

$$\emptyset$$
$$\alpha \cdot P$$
$$P + Q$$
$$P \mid Q$$

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<sup>1</sup>Milner, *A Calculus of Communicating Systems*.

A process algebra featuring

- the deadlocked process
- action prefixing
- nondeterministic choice
- parallel composition
- action renaming

 $\emptyset$  $\alpha \cdot P$  $P + Q$  $P \mid Q$  $P[\varphi]$ 

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<sup>1</sup>Milner, *A Calculus of Communicating Systems*.

A process algebra featuring

- the deadlocked process
- action prefixing
- nondeterministic choice
- parallel composition
- action renaming
- action restriction

 $\emptyset$  $\alpha \cdot P$  $P + Q$  $P \mid Q$  $P[\varphi]$  $P \setminus L$ 

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A process algebra featuring

- the deadlocked process
- action prefixing
- nondeterministic choice
- parallel composition
- action renaming
- action restriction
- recursion

 $\emptyset$  $\alpha \bullet P$  $P + Q$  $P \mid Q$  $P[\varphi]$  $P \setminus L$  $\text{fix } X. P$ 

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<sup>1</sup>Milner, *A Calculus of Communicating Systems*.

# Preliminaries

- a set of actions  $\mathcal{A}$  with  $\tau \in \mathcal{A}$
- an involution  $\bar{\cdot}: \mathcal{A} \setminus \{\tau\} \rightarrow \mathcal{A} \setminus \{\tau\}$  ( $\overline{\bar{\alpha}} = \alpha$ )
- $\text{Ren}(\mathcal{A}) := \left\{ \varphi: \mathcal{A} \setminus \{\tau\} \rightarrow \mathcal{A} \setminus \{\tau\} \mid \forall \alpha \in \mathcal{A}. \varphi(\bar{\alpha}) = \overline{\varphi(\alpha)} \right\}$
- a set  $\mathcal{V}$  of variables

# Syntax of CCS

$P, Q := \emptyset \mid X \mid \alpha \cdot P \mid P + Q \mid P \mid Q \mid P \setminus L \mid P[\varphi] \mid \text{fix } X. P$

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where

- $X \in \mathcal{V}$
- $\alpha \in \mathcal{A}$
- $L \subseteq \mathcal{A} \setminus \{\tau\}$
- $\varphi \in \text{Ren}(\mathcal{A})$

# To Steal an Example

$$CM := \text{fix } CM. \text{coin} . \overline{\text{coffee}} . CM$$
$$CS := \text{fix } CS. \overline{\text{pub}} . \overline{\text{coin}} . \text{coffee} . CS$$
$$UNI := (CM \mid CS) \setminus \{\text{coin}, \text{coffee}\}$$

# To Steal an Example

Synchronization via handshake

$$CM := \text{fix } CM. \text{coin} . \overline{\text{coffee}} . CM$$
$$CS := \text{fix } CS. \overline{\text{pub}} . \overline{\text{coin}} . \text{coffee} . CS$$
$$\text{UNI} := (CM \mid CS) \setminus \{\text{coin}, \text{coffee}\}$$

# Operational Semantics of CCS

$$\begin{array}{c}
 \frac{}{\alpha \cdot P \xrightarrow{\alpha} P} \text{act} \\
 \\
 \frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'} \text{sum}_l \qquad \frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} Q'} \text{sum}_r \\
 \\
 \frac{P \xrightarrow{\alpha} P'}{P | Q \xrightarrow{\alpha} P' | Q} \text{par}_l \qquad \frac{Q \xrightarrow{\alpha} Q'}{P | Q \xrightarrow{\alpha} P | Q'} \text{par}_r \\
 \\
 \frac{P \xrightarrow{\alpha} P' \quad Q \xrightarrow{\bar{\alpha}} Q'}{P | Q \xrightarrow{\tau} P' | Q'} \text{sync} \\
 \\
 \frac{P \xrightarrow{\alpha} P' \quad \alpha, \bar{\alpha} \notin L}{P \setminus L \xrightarrow{\alpha} P'} \text{res} \qquad \frac{P \xrightarrow{\alpha} P'}{P[\varphi] \xrightarrow{\varphi(\alpha)} P'[\varphi]} \text{ren} \\
 \\
 \frac{P [\text{fix } X. P/X] \xrightarrow{\alpha} P'}{\text{fix } X. P \xrightarrow{\alpha} P'} \text{fix}
 \end{array}$$

# Guarded terms

## Definition ( guarded )

A term  $P$  is *guarded* if all variable occurrences in  $P$  are only in subterms of the form  $\alpha \cdot Q$ .

$\text{fix } X. \alpha \cdot X$  ✓

$\text{fix } Y. (\text{fix } X. \alpha \cdot X) + Y$



# Strong Bisimulation

## Definition

$R \subseteq \text{Proc} \times \text{Proc}$  is a *strong bisimulation* iff

$$\begin{array}{ccc} P & \xrightarrow{R} & Q \\ \downarrow \alpha & & \downarrow \alpha \\ P' & \xrightarrow{R} & Q' \end{array}$$

$$\begin{array}{ccc} P & \xrightarrow{R} & Q \\ \downarrow \alpha & & \downarrow \alpha \\ P' & \xrightarrow{R} & Q' \end{array}$$

$$P \sim Q : \iff \exists R. (P, Q) \in R \wedge R \text{ is a bisimulation}$$

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$$P + Q \sim Q + P, \quad P + P \sim P, \quad P + \emptyset \sim P$$
$$(P + Q) \mid S \not\sim (P \mid S) + (Q \mid S)$$

# Bisimulation as a Congruence

We want  $\sim$  to be a  $\Sigma$ -congruence, i.e. for all  $f \in \overline{\Sigma}$

$$\begin{aligned} X_1 \sim Y_1, \dots, X_{\text{ar}(f)} \sim Y_{\text{ar}(f)} \\ \implies f(X_1, \dots, X_{\text{ar}(f)}) \sim f(Y_1, \dots, Y_{\text{ar}(f)}) \end{aligned}$$

Proofs of this in the presence of fixpoints are rather *involved* and *fragile*<sup>2</sup>

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<sup>2</sup>Amadio and Curien, *Domains and Lambda-Calculi*.

# de Bruijn Indices

- We set  $\mathcal{V} := \mathbb{N}$
- A substitution is a function  $\sigma: n \rightarrow \text{Proc}$
- `fix` always binds 0, we write `fix P` for `fix 0. P`
- Bound variables count the number of binders to “jump over”:



$$\frac{\left(x_{i_j} \xrightarrow{\alpha_j} y_{i_j}\right)_j \quad \left(x_{i_k} \xrightarrow{\alpha_k} t\right)_k}{f(x_1, \dots, x_{\text{ar}(f)}) \xrightarrow{\alpha} t}$$

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<sup>3</sup>Bloom, Istrail, and Meyer, “Bisimulation can’t be traced”.

$$\frac{\left(x_{i_j} \xrightarrow{\alpha_j} y_{i_j}\right)_j \quad \left(x_{i_k} \xrightarrow{\alpha_k} \right)_k}{f(x_1, \dots, x_{\text{ar}(f)}) \xrightarrow{\alpha} t}$$

where

- $f \in \Sigma$
- $1 \leq i_j, i_k \leq \text{ar}(f)$
- $x_{i_j}, y_{i_j}$  are distinct
- $t$  contains only variables from  $x_{i_j}, y_{i_j}$

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<sup>3</sup>Bloom, Istrail, and Meyer, “Bisimulation can’t be traced”.

# First-Order Abstract GSOS<sup>4</sup>

A categorical framework:

- A signature functor  $\Sigma: \mathcal{C} \rightarrow \mathcal{C}$
- A behaviour functor  $B: \mathcal{C} \rightarrow \mathcal{C}$

A GSOS rule corresponds to a natural transformation

$$\varrho: \Sigma(\text{Id} \times B) \Rightarrow B\Sigma^*$$

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<sup>4</sup>Turi and Plotkin, “Towards a mathematical operational semantics”.

# Signature

$$\begin{aligned}\bar{\Sigma} := & \mathbb{N} \\ & \cup \{\alpha \cdot (\cdot) / 1 \mid \alpha \in \mathcal{A}\} \\ & \cup \{+ / 2, | / 2\} \\ & \cup \{(\cdot) [\varphi] / 1 \mid \varphi \in \text{Ren}(\mathcal{A})\} \\ & \cup \{(\cdot) \setminus L / 1 \mid L \subseteq \mathcal{A}\}\end{aligned}$$

$$\Sigma X = \prod_{f \in \bar{\Sigma}} X^{\text{ar}(f)}$$



# Abstract GSOS

- A signature functor  $\Sigma X = \prod_{f \in \bar{\Sigma}} X^{\text{ar}(f)}$
- A behaviour functor  $BX = \mathcal{P}_{\omega_1}(\mathcal{A} \times X)$

$$\frac{P \xrightarrow{\alpha} P'}{P \mid Q \xrightarrow{\alpha} P' \mid Q}$$

$$\varrho_X: \Sigma(X \times BX) \rightarrow B\Sigma^* X$$

$$\varrho_X((P, b_P) \mid (Q, b_Q)) = \{(\alpha, (P' \mid Q)) \mid (\alpha, P') \in b_P\} \\ \cup \dots$$

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# Abstract GSOS

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# Operational Model

We obtain a coalgebra  $(\mu\Sigma, \gamma)$ :

$$\begin{array}{ccc} \Sigma \mu\Sigma & \xrightarrow{\iota} & \mu\Sigma & \xrightarrow{\gamma} & B\mu\Sigma \\ \downarrow \Sigma\langle \text{id}, \gamma \rangle & & & & \uparrow B\iota \\ \Sigma(\mu\Sigma \times B\mu\Sigma) & \xrightarrow{\varrho_{\mu\Sigma}} & B\Sigma^* \mu\Sigma & & \end{array}$$

# Operational Model

By initiality of  $(\mu\Sigma, \iota)$

We obtain a coalgebra  $(\mu\Sigma, \gamma)$ :

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# Abstract Behaviour

$$\begin{array}{ccccc} \Sigma \mu \Sigma & \xrightarrow{\iota} & \mu \Sigma & \xrightarrow{\gamma} & B \mu \Sigma \\ \downarrow \Sigma[-]_e & & \downarrow \llbracket - \rrbracket_e & & \downarrow B[-]_e \\ \Sigma \nu B & \xrightarrow{\alpha} & \nu B & \xrightarrow{\tau} & B \nu B \end{array}$$

We obtain strong bisimulation:

$$P \sim Q : \iff \llbracket P \rrbracket_e = \llbracket Q \rrbracket_e$$

# Compositionality for Free

## Proposition

$\sim \subseteq \mu\Sigma \times \mu\Sigma$  is a  $\Sigma$ -congruence.

# Why fix poses a problem

$$\frac{P [\text{fix } X. P/X] \xrightarrow{\alpha} P'}{\text{fix } X. P \xrightarrow{\alpha} P'} \text{fix}$$



# Higher-Order Abstract GSOS<sup>5</sup>

Extend first-order abstract GSOS to allow for higher-order languages:

- a signature functor  $\Sigma: \mathcal{C} \rightarrow \mathcal{C}$
- a mixed-variance behaviour bifunctor  $B: \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{C}$

## Definition

A *higher-order GSOS law* is a family

$$\varrho_{X,Y}: \Sigma(X \times B(X, Y)) \rightarrow B(X, \Sigma^*(X + Y))$$

*dinatural* in  $X$  and natural in  $Y$ .

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<sup>5</sup>Goncharov, Milius, Schröder, Tsampas, and Urbat, “Higher-Order Mathematical Operational Semantics”.

# Alternative fixpoint semantics


$$\frac{P \text{ [fix } P/0] \xrightarrow{\alpha} P'}{\text{fix } P \xrightarrow{\alpha} P'} \text{ fix}$$

$$\frac{P \xrightarrow{\alpha}_{\text{fix}'} P'}{\text{fix } P \xrightarrow{\alpha}_{\text{fix}'} P' \text{ [fix } P/0]} \text{ fix}'$$

# Unguarded Case (Standard Semantics)<sup>6</sup>

$$P := \text{fix } (0 \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset)$$

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<sup>6</sup>  counter-example

# Unguarded Case (Standard Semantics)<sup>6</sup>

$$P := \text{fix } (0 \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset)$$

$$\begin{array}{c}
 \frac{}{\alpha \cdot \emptyset \xrightarrow{\alpha} \emptyset} \text{ act} \\
 \frac{}{\alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\alpha} \emptyset} \text{ sum}_l \\
 \frac{}{P \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\tau} P \mid \emptyset} \text{ comp}_r \\
 \frac{}{P \xrightarrow{\alpha} P \mid \emptyset} \text{ fix} \\
 \frac{}{\bar{\alpha} \cdot \emptyset \xrightarrow{\bar{\alpha}} \emptyset} \text{ act} \\
 \frac{}{\alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\bar{\alpha}} \emptyset} \text{ sum}_r \\
 \frac{}{P \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\tau} P \mid \emptyset \mid \emptyset} \text{ sync} \\
 \frac{}{P \xrightarrow{\tau} P \mid \emptyset \mid \emptyset} \text{ fix}
 \end{array}$$

---

<sup>6</sup>  counter-example

# Unguarded Case (Alternative Semantics)

$$P := \text{fix } 0 \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset$$

$$\frac{\frac{0 \xrightarrow{\alpha} \text{fix}'}{\text{sync}} \quad 0 \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\tau} \text{fix}'}{\text{fix } 0 \mid \alpha \cdot \emptyset + \bar{\alpha} \cdot \emptyset \xrightarrow{\tau} \text{fix}'}}{\text{fix}'}$$

## Lemma ( step-subst )

Let  $P, P'$  be terms,  $\sigma$  a substitution.

$$P \xrightarrow{\alpha} P' \implies (P\sigma) \xrightarrow{\alpha} (P'\sigma)$$

## Lemma ( subst-fix-swap )

Let  $P$  be a *guarded* term,  $T, Q$  arbitrary terms. If  $(P [T/0]) \xrightarrow{\alpha} Q$  then there exists a  $Q'$  such that

$$\exists Q'. P \xrightarrow{\alpha} Q' \wedge Q = (Q' [T/0])$$

# Equivalence of the operational semantics

Theorem (   $\text{fix} \Leftrightarrow \text{fix}'$  )

Let  $P$  be a guarded term. Then for all  $Q, \alpha$ :

$$P \xrightarrow{\alpha} Q \iff P \xrightarrow{\text{fix}'} Q$$

## Proof.

- “ $\Rightarrow$ ” by induction on the derivation using  $\hookrightarrow$  `subst-fix-swap` in the `fix` case
- “ $\Leftarrow$ ” by induction on the derivation using  $\hookrightarrow$  `step-subst` in the `fix'` case





# Indexing with Substitutions

## Problem

$$\frac{P \xrightarrow{\alpha}_{\text{fix}'} P'}{\text{fix } P \xrightarrow{\alpha}_{\text{fix}'} P' [\text{fix } P/0]} \text{fix}'$$

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$$\frac{P \xrightarrow{\alpha}_{\text{fix}'} P'}{\text{fix } P \xrightarrow{\alpha}_{\text{fix}'} P' [\text{fix } P/0]} \text{fix}'$$

## Idea

Define a set of rules with labels  $\mathcal{A} \times \text{Subst}(n, m)$  such that

$$P \xrightarrow{\alpha}_{\sigma} P' \iff (\exists P'. P \xrightarrow{\alpha} P' \wedge P' = P' \sigma)$$

# Indexing with Substitutions

$$\frac{}{\alpha \cdot P \xrightarrow{\alpha}_{\sigma} P \sigma} \text{ act}$$

$$\frac{P \xrightarrow{\alpha}_{\sigma} P'}{P \mid Q \xrightarrow{\alpha}_{\sigma} P' \mid Q \sigma} \text{ par}_l$$

$$\frac{Q \xrightarrow{\alpha}_{\sigma} Q'}{P \mid Q \xrightarrow{\alpha}_{\sigma} P \sigma \mid Q'} \text{ par}_r$$

$$\frac{P \xrightarrow{\alpha}_{(\sigma \circ [\text{fix } P/0])} P'}{\text{fix } P \xrightarrow{\alpha}_{\sigma} P'} \text{ fix}$$

# Indexing with Substitutions

Proposition (  subst-step  $\Leftrightarrow$  fix' )

*It does indeed hold that*

$$P \xrightarrow{\alpha}_{\sigma} P' \iff (\exists P'. P \xrightarrow{\alpha} P' \wedge P' = P' \sigma)$$

# Indexing with Lists

## Problem

Indexing by arbitrary substitutions is not necessary; We only need substitutions of the form  $[\text{fix } P/0]$ .

## Solution

We index by a list of  $X + 1$

# Indexing with Lists

$$\frac{P[\square, \xi] = P'}{(\text{fix } P)[\xi] = \text{fix } P'} \text{fix}_{\text{sub}}$$

$$\frac{P_i \neq \square}{i[P_0, P_1, \dots, P_n] = \text{fix } P} \text{name}_1$$

$$\frac{P_i = \square}{i[P_0, P_1, \dots, P_n] = i} \text{name}_2$$

$$\frac{P[\xi] = P'}{\alpha \bullet P \xrightarrow{\xi} P'} \text{act}$$

$$\frac{P \xrightarrow{\alpha}_{P, P_0, \dots, P_n} P'}{\text{fix } P \xrightarrow{\alpha}_{P_0, \dots, P_n} P'} \text{fix}$$

# Example

$$\frac{P' = 1[\alpha \cdot 1, \text{fix } \alpha \cdot 1] = \text{fix fix } \alpha \cdot 1}{\frac{\frac{\alpha \cdot 1 \xrightarrow{\alpha} \alpha \cdot 1, \text{fix } \alpha \cdot 1 P'}{\text{fix}}}{\text{fix } \alpha \cdot 1 \xrightarrow{\alpha} \text{fix } \alpha \cdot 1 \text{ fix fix } \alpha \cdot 1} \text{fix}}{\text{fix fix } \alpha \cdot 1 \xrightarrow{\alpha} \varepsilon \text{ fix fix } \alpha \cdot 1} \text{act}}$$

## Fixing a behaviour

$$B(X, Y) = Y^{(X+1)^*} \times \mathcal{P}_{\omega_1} (\mathcal{A} \times Y^{(X+1)^*})$$



## Defining $\varrho$

$$\varrho_{X,Y}: \Sigma(X \times B(X, Y)) \rightarrow B(X, \Sigma^*(X + Y))$$

## Defining $\varrho$

$$\varrho_{X,Y}: \Sigma(X \times B(X, Y)) \rightarrow (\Sigma^*(X + Y))^{(X+1)^*} \times$$
$$\mathcal{P}_{\omega_1} (A \times (\Sigma^*(X + Y))^{(X+1)^*})$$
$$\varrho_{X,Y} = \langle \varrho_{X,Y}^1, \varrho_{X,Y}^2 \rangle$$

## Defining $\varrho_{X,Y}^1$

$$\varrho_{X,Y}^1: \Sigma(X \times B(X, Y)) \rightarrow (\Sigma^*(X + Y))^{(X+1)^*}$$

$$\emptyset \mapsto \lambda \_ . \emptyset$$

$$m \mapsto \lambda P_0, P_1, \dots, P_n . \begin{cases} \text{fix } P & m \leq n, P_m = \text{inl } P \\ m & \text{otherwise} \end{cases}$$

$$\alpha \bullet (P, \sigma_P, \_) \mapsto \lambda \xi . \alpha \bullet \text{inr}(\sigma_P \xi)$$

$$\text{fix } (P, \sigma_P, \_) \mapsto \lambda \xi . \text{fix } \text{inr}(\sigma_P(\text{inr } \top, \xi))$$

$$\vdots$$

## Defining $\varrho_{X,Y}^2$

$$\varrho_{X,Y}^2: \Sigma(X \times B(X, Y)) \rightarrow \mathcal{P}_{\omega_1}(\mathcal{A} \times (\Sigma^*(X + Y))^{X+1^*})$$

$$\emptyset \mapsto \emptyset$$

$$m \mapsto \emptyset$$

$$\alpha \bullet (P, \sigma_P, \_ ) \mapsto \{(\alpha, \eta_Y \circ \text{inr} \circ \sigma_P)\}$$

$$\text{fix } (P, \sigma_P, b_P) \mapsto \{(\alpha, \lambda \xi. \sigma(\text{inl } \top, \xi)) \mid (\alpha, \sigma) \in b_P\}$$

$\vdots$

# Operational Model

A  $B(\mu\Sigma, -)$ -coalgebra  $\iota^\clubsuit: \mu\Sigma \rightarrow B(\mu\Sigma, \mu\Sigma)$

$$\begin{array}{ccc}
 \Sigma \mu\Sigma & \xrightarrow{\quad \iota \quad} & \mu\Sigma \\
 \downarrow \Sigma \langle \text{id}, \iota^\clubsuit \rangle & & \downarrow \langle \text{id}, \iota^\clubsuit \rangle \\
 \Sigma(\mu\Sigma \times B(\mu\Sigma, \mu\Sigma)) & \xrightarrow{\langle \iota \circ \Sigma \text{fst}, \varrho_{\mu\Sigma, \mu\Sigma} \rangle} & \mu\Sigma \times B(\mu\Sigma, \Sigma^*(\mu\Sigma + \mu\Sigma)) \xrightarrow{\text{id} \times B(\text{id}, \hat{\iota} \circ \Sigma^* \nabla)} \mu\Sigma \times B(\mu\Sigma, \mu\Sigma)
 \end{array}$$

# Operational Model

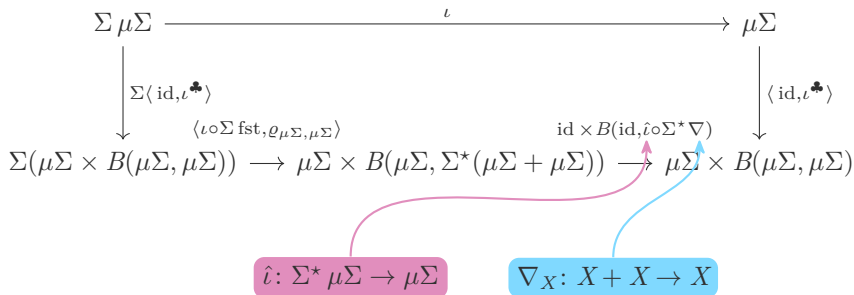
A  $B(\mu\Sigma, -)$ -coalgebra  $\iota^\clubsuit: \mu\Sigma \rightarrow B(\mu\Sigma, \mu\Sigma)$

$$\begin{array}{ccc}
 \Sigma \mu\Sigma & \xrightarrow{\quad \iota \quad} & \mu\Sigma \\
 \downarrow \Sigma\langle \text{id}, \iota^\clubsuit \rangle & & \downarrow \langle \text{id}, \iota^\clubsuit \rangle \\
 \Sigma(\mu\Sigma \times B(\mu\Sigma, \mu\Sigma)) & \xrightarrow{\langle \iota \circ \Sigma \text{fst}, \varrho_{\mu\Sigma, \mu\Sigma} \rangle} & \mu\Sigma \times B(\mu\Sigma, \Sigma^*(\mu\Sigma + \mu\Sigma)) \xrightarrow{\text{id} \times B(\text{id}, \hat{\iota} \circ \Sigma^* \nabla)} \mu\Sigma \times B(\mu\Sigma, \mu\Sigma)
 \end{array}$$

$\hat{\iota}: \Sigma^* \mu\Sigma \rightarrow \mu\Sigma$

# Operational Model

A  $B(\mu\Sigma, -)$ -coalgebra  $\iota^{\clubsuit}: \mu\Sigma \rightarrow B(\mu\Sigma, \mu\Sigma)$



# Strong Bisimulation

$$\begin{array}{ccc} \sim & \longrightarrow & \mu\Sigma \\ \downarrow & \lrcorner & \downarrow \text{coit } \iota^{\clubsuit} \\ \mu\Sigma & \xrightarrow{\text{coit } \iota^{\clubsuit}} & \nu\gamma. B(\mu\Sigma, \gamma) \end{array}$$

## Proposition

$\sim$  is a  $\Sigma$ -congruence








# Formalizing in Agda

<https://www.cip.cs.fau.de/~oc45ujef/ma/ccs/>

- de Bruijn indices inspired by PLFA<sup>7</sup>
- type of processes is a family  $\text{Proc} : \mathbb{N} \rightarrow \text{Set}$
- $P : \text{Proc } n$  means  $P$  is a term with at most  $n$  free variables

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<sup>7</sup>Wadler, Kokke, and Siek, *Programming Language Foundations in Agda*.

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# Intermission: Free Algebra<sup>8</sup>

## Definition (Free $\Sigma$ -Algebra)

Let  $\Sigma: \mathcal{C} \rightarrow \mathcal{C}$ ,  $X \in \text{Ob}(\mathcal{C})$ . A free  $\Sigma$ -Algebra of  $X$  is a  $\Sigma$ -Algebra  $(\Sigma^* X, \iota_X)$  with a morphism  $X: \Sigma^* X$  such that

$$\begin{array}{ccc} \Sigma\Sigma^* X & \xrightarrow{\iota_X} & \Sigma^* X \\ & & \nwarrow \eta_X \\ & & X \end{array}$$

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<sup>8</sup>Barr, "Coequalizers and free triples".

# Intermission: Free Algebra<sup>8</sup>

## Definition (Free $\Sigma$ -Algebra)

Let  $\Sigma: \mathcal{C} \rightarrow \mathcal{C}$ ,  $X \in \text{Ob}(\mathcal{C})$ . A free  $\Sigma$ -Algebra of  $X$  is a  $\Sigma$ -Algebra  $(\Sigma^* X, \iota_X)$  with a morphism  $X: \Sigma^* X$  such that

$$\begin{array}{ccc} \Sigma \Sigma^* X & \xrightarrow{\iota_X} & \Sigma^* X \\ \downarrow \Sigma h^* & & \vdots \\ \Sigma A & \xrightarrow{a} & A \end{array} \quad \begin{array}{c} \swarrow \eta_X \\ X \\ \searrow h \end{array}$$

The diagram shows a commutative square with a vertical dotted line and a triangle. The top-left node is  $\Sigma \Sigma^* X$ , the top-right node is  $\Sigma^* X$ , the bottom-left node is  $\Sigma A$ , and the bottom-right node is  $A$ . A vertical arrow labeled  $\Sigma h^*$  points from  $\Sigma \Sigma^* X$  to  $\Sigma A$ . A horizontal arrow labeled  $a$  points from  $\Sigma A$  to  $A$ . A horizontal arrow labeled  $\iota_X$  points from  $\Sigma \Sigma^* X$  to  $\Sigma^* X$ . A vertical dotted line points from  $\Sigma^* X$  to  $A$ . A triangle with vertices  $\Sigma^* X$ ,  $X$ , and  $A$  has arrows  $\eta_X$  from  $\Sigma^* X$  to  $X$  and  $h$  from  $X$  to  $A$ . The text  $\exists! h^*$  is placed between the dotted line and the triangle.

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<sup>8</sup>Barr, "Coequalizers and free triples".

# Intermission: Dinatural Transformations

## Definition

Let  $F, G: \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{D}$ . A *dinatural transformation*  $\varrho: F \rightrightarrows G$  is a family  $\varrho_X: F(X, X) \rightarrow G(X, X)$  of morphisms such that

$$\begin{array}{ccc} & F(X, X) \xrightarrow{\varrho_X} G(X, X) & \\ F(f, \text{id}) \nearrow & & \searrow G(\text{id}, f) \\ F(Y, X) & & G(X, Y) \\ F(\text{id}, f) \searrow & & \nearrow G(f, \text{id}) \\ & F(Y, Y) \xrightarrow{\varrho_Y} G(Y, Y) & \end{array}$$

for all  $f \in \text{Hom}_{\mathcal{C}}(X, Y)$

# Bialgebras

## Definition

A  $\varrho$ -bialgebra  $(X, a, b)$  consist of

- a  $\Sigma$ -algebra  $(X, a: \Sigma X \rightarrow X)$
- a  $B$ -coalgebra  $(X, b: X \rightarrow BX)$

such that

$$\begin{array}{ccccc} \Sigma X & \xrightarrow{a} & X & \xrightarrow{b} & BX \\ \downarrow \Sigma\langle \text{id}, b \rangle & & & & \uparrow B\hat{a} \\ \Sigma(X \times BX) & \xrightarrow{\varrho_X} & & & B\Sigma^* X \end{array}$$

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Eilenberg-  
Moore algebra  
induced by  $a$

# Denotational Model

If  $B$  has a final coalgebra  $(\nu B, \tau)$ , we obtain the *final*  $\varrho$ -bialgebra  $(\nu B, \alpha, \tau)$ :

$$\begin{array}{ccccc} \Sigma \nu B & \xrightarrow{\alpha} & \nu B & \xrightarrow{\tau} & B \nu B \\ \downarrow \Sigma \langle \text{id}, \tau \rangle & & & & \uparrow B \hat{\alpha} \\ \Sigma(\nu B \times B \nu B) & \xrightarrow{\varrho_{\nu B}} & & & B \Sigma^* \nu B \end{array}$$



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By finality of  $(\nu B, \tau)$

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