

# Internalization of a Categorical Automata

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Frank, et. al.<sup>1</sup> define a non-deterministic automaton in a category  $\mathcal{C}$  as the quintuplet of objects and subobjects

$$A = (Q \in \mathcal{C}, \Sigma \in \mathcal{C}, m_\delta: \delta \multimap Q \times \Sigma \times Q, m_I: I \multimap Q, m_F: F \multimap Q).$$

The accepted language of an automaton  $A$  is  $L(A)$ , morally a subobject of  $\Sigma^*$ . If  $\mathcal{C}$  lacks countable coproducts, which would be necessary to give  $\Sigma^* = \coprod_{n \in \mathbb{N}} \Sigma^n$ , it is possible to define  $L(A)$  “length-wise”, in which case we presuppose a definition of a language as a family of monos

$$L := \left( m_n^{(L)}: L^{(n)} \multimap \Sigma^n \right)_{n \in \mathbb{N}}$$

For the empty word, we consider the following diagram, that constructs the pullback  $I \cap F$  (designating the initial states that are also accepting), and the image of  $I \cap F \rightarrow 1$ ,

$$\begin{array}{ccccc} L^{(0)}(A) & \xleftarrow{e_{0,A}} & I \cap F & \xrightarrow{\bar{m}_F} & I \\ \downarrow m_{L(A)}^{(0)} & & \downarrow \bar{m}_I & & \downarrow m_I \\ & \swarrow ! & F & \xrightarrow{m_F} & Q \\ & & 1x & & \end{array}$$

For non-empty words, the notion of an “accepting run”  $\text{AccRun}_A^{(n)}$  of an automaton  $A$  for words  $\sigma_1 \dots \sigma_n$  is given by

$$\begin{array}{ccccc} L^{(n)}(A) & \xleftarrow{e_{n,A}} & \text{AccRun}_A^{(n)} & \xrightarrow{\bar{d}_{n,A}} & \delta^n \\ \downarrow m_{L(A)}^{(n)} & & \downarrow \bar{m}_\delta^{(n)} & & \downarrow m_\delta^n \\ \Sigma^n & \xleftarrow{p_{n,A}} & I \times (\Sigma \times Q)^{n-1} \times \Sigma \times F & \xrightarrow{d_{n,A}} & (Q \times \Sigma \times Q)^n \\ & & \downarrow m_I \times \text{id}_{(\Sigma \times Q)^{n-1} \times \Sigma \times m_F} & & \uparrow \cong \\ & & Q \times (\Sigma \times Q)^{n-1} \times \Sigma \times Q & \xrightarrow{\text{id}_Q \times (\text{id}_\Sigma \times \Delta_Q) \times \text{id}_\Sigma \times \text{id}_Q} & Q \times (\Sigma \times Q \times Q)^{n-1} \times \Sigma \times Q \end{array}$$

where  $p_{n,A}$  is the projection discarding all states from transitions. The general idea is that an accepting run is a chain of transitions over the subobject of *legal* ( $\delta^n$ ) one-step transitions  $\delta$ , connecting an *initial state to an accepting state* ( $I \times \dots \times F$ ). The pullback of  $d_{n,A}$  and  $m_\delta^n$  acts as a generalisation of intersections in **Sets**, resulting in *legal, accepting runs*. By projecting ( $p_{n,A}$ ) out the inputs of type  $\Sigma$ , we get the accepted words.

**Categorical Automata in a Topos** A topos  $\mathcal{E}$  provides all the necessary structure to define a categorical automaton:

- All finite limits, including e.g. to construct the pullback  $\text{AccRun}_A^{(n)}$
- Arbitrary subobjects  $m: S \multimap A$
- General Epi-Mono Factorisation<sup>2</sup>

and therefore of interest if we can give a

$$m_A^{(n)}: \{ \sigma_1 \dots \sigma_n \mid \text{???} \} \multimap \Sigma^n$$

description of a language, such that the above diagram commutes, i.e. the subobject is the image of  $p_{n,A} \circ \bar{m}_\delta^{(n)}$ .

<sup>1</sup>Florian Frank, Stefan Milius, and Henning Urbat. *Positive Data Languages*. 2023. arXiv: 2304.12947 [cs.FL].

<sup>2</sup>Saunders MacLane and Ieke Moerdijk. *Sheaves in geometry and logic: A first introduction to topos theory*. Springer Science & Business Media, 2012, p. 185.

**Base case**  $n = 0$  Here the question is, whether  $\epsilon$  is part of the language or not. Note here that  $Q$  is an internal lattice in  $\mathcal{E}$ .<sup>3</sup>

As  $m_{L(A)}^{(0)}$  has 1 as its codomain it is unique up to isomorphism. As  $! = m_{L(A)}^{(0)} \circ e_{0,A}$ ,  $e_{0,A}$  is also unique up to iso. The question is therefore, if the domain of  $e_{0,A}$  is 0 (when  $F$  and  $I$  are disjoint subobjects,  $F \cap I \cong 0$ ), in which case  $L^{(0)}(A) \cong 0$  as well, meaning that  $\epsilon \notin L(A)$ .

Alternatively if  $I$  and  $F$  are *not* disjoint subobjects (meaning there is an initial state that is also accepting, i.e.  $\epsilon \in L(A)$ ), we want

$$L^{(0)}(A) = \eta_{\Sigma^*}(\epsilon) = \{\epsilon\} = \{w \mid w = \epsilon\}$$

to hold. We can equivalently characterise the above as

$$L^{(0)}(A) = \{\epsilon \mid F \cap I \not\cong 0\}.$$

**Definition of  $L_A^{(n)}$  and  $\text{AccRun}_A^{(n)}$  for  $n > 0$**  The definition of  $\text{AccRun}_A^{(n)}$ , as the pullback of  $m_\delta^{(n)}$  and  $d_{n,A}$ , gives a straightforward expression in the internal logic of  $\mathcal{E}$ ,

$$\text{AccRun}_A^{(n)} = \left\{ a \mid d_{n,A}(\overline{m}_\delta^{(n)}(a)) = m_\delta^{(n)}(\overline{d}_{n,A}(a)) \right\}.$$

With some foreknowledge, we can describe the image of  $m_{L(A)}^{(n)}$  as

$$\mathfrak{L}^{(n)}(A) := \left\{ w \mid \exists r: (Q \times \Sigma \times Q)^n. w = p'_{n,A}(r) \wedge \text{Accp}_A^{(n)}(r) \right\}$$

where

$$\text{Accp}_A^{(n)}(r) := \exists t: I \times (\Sigma \times Q)^{n-1} \times \Sigma \times F. r \in m_\delta^n(\delta^n) \cap d_{n,A}(t)$$

and  $p'_{n,A} = \pi_2^n$  (the second projection under a  $n$ -ary-product), such that

$$\begin{array}{ccc} I \times (\Sigma \times Q)^{n-1} \times \Sigma \times F & & \\ p_{n,A} \downarrow & \searrow d_{n,A} & \\ \Sigma^n & \xleftarrow{p'_{n,A}} & (Q \times \Sigma \times Q)^n \end{array}$$

commutes, which is easy to see since  $d_{n,A}$  preserves all  $\Sigma$  by identities or isomorphisms.

To verify the validity of this description, i.e. that  $m_{L(A)}^{(n)}$  is the smallest subobject through which  $p_{n,A} \circ \overline{m}_\delta^{(n)}$  can factor, we can take the description of an image in the internal logic

$$\text{Im}(f: A \longrightarrow B) = \{b: B \mid \exists a: A. f(a) = b\},$$

and attempt to prove the equivalence of subobjects

$$\vdash \text{Im}(p_{n,A} \circ \overline{m}_\delta^{(n)}) = \mathfrak{L}^{(n)}(A).$$

It is at this point that we have to provide a concrete and non-recursive definitions of  $\overline{m}_\delta^{(n)}$  and  $\text{AccRun}_A^{(n)}$ . One option is to take  $\text{AccRun}_A^{(n)} := (Q \times \Sigma \times Q)^n$ , such that  $\pi_1(\pi_1(\text{AccRun}_A^{(n)}))$  is initial and  $\pi_3(\pi_3(\text{AccRun}_A^{(n)}))$  is final, while

$$\pi_3(\pi_i(\text{AccRun}_A^{(n)})) = \pi_1(\pi_{i+1}(\text{AccRun}_A^{(n)})) \quad \forall 1 \leq i < n$$

and have  $\overline{m}_\delta^{(n)}$  be the destructing map that requires the first state to be in  $I$  and the final state in  $F$ , and  $\overline{d}_{n,A}$  is a simple injection.

<sup>3</sup>MacLane and Moerdijk, *Sheaves in geometry and logic: A first introduction to topos theory*, p. 198.

Note: Due to the commuting property of  $p'_{n,A}$ , we can equivalently consider  $\text{Im}(p'_{n,A} \circ d_{n,A} \circ \overline{m}_\delta^{(n)})$ . We can express  $\ell := p'_{n,A} \circ d_{n,A} \circ \overline{m}_\delta^{(n)} = \pi_2^n$  (since  $d_{n,A} \circ \overline{m}_\delta^{(n)}$  ought to equal  $\text{id}_{Q \times \Sigma \times Q}$ ) in the internal logic as

$$\ell(r) = \{ w \mid w = \pi_2^n(r) \},$$

using which we can define the language as

$$\{ w \mid \exists r. \ell(r) = w \} = \left\{ w : \Sigma^n \mid \exists r : \text{AccRun}_A^{(n)} \subseteq (Q \times \Sigma \times Q)^n. \pi_2^n(r) = w \right\} : \mathbf{P}(\Sigma^n).$$

**Equivalence of subobjects** With the above we can investigate if the internal descriptions, for  $n > 0$ :

$$\begin{aligned} &\vdash && \text{Im}(\pi_2^n) = \mathfrak{L}^{(n)}(A) \\ w : \Sigma^n \vdash && w \in \text{Im}(\pi_2^n) \iff w \in \mathfrak{L}^{(n)}(A) \\ w : \Sigma^n \vdash && w \in \{ w \mid \exists a. \pi_2^n(a) = w \} \iff w \in \left\{ w \mid \exists r. w = \pi_2^n(r) \wedge \text{Accp}_A^{(n)}(r) \right\} \\ w : \Sigma^n \vdash && \exists a : \text{AccRun}_A^{(n)}. \pi_2^n(a) = w \iff \exists r : (Q \times \Sigma \times Q)^n. w = \pi_2^n(r) \wedge \text{Accp}_A^{(n)}(r) \\ w : \Sigma^n \vdash && \exists r : (Q \times \Sigma \times Q)^n. \pi_2^n(r) = w \wedge \text{Accp}_A^{(n)}(r) \iff \exists r : (Q \times \Sigma \times Q)^n. w = \pi_2^n(r) \wedge \text{Accp}_A^{(n)}(r) \end{aligned}$$

where the last inference is valid, since  $\text{Accp}_A^{(n)}$  is the characteristic morphism that recognises if a  $r : (Q \times \Sigma \times Q)^n$  is an accepted run.

This fact remains open to proof:

$$\begin{aligned} &\vdash && \text{AccRun}_A^{(n)} = \left\{ r : (Q \times \Sigma \times Q)^n \mid \text{Accp}_A^{(n)}(r) \right\} \\ &\vdash && \left\{ a \mid d_{n,A}(\overline{m}_\delta^{(n)}(a)) = m_\delta^{(n)}(\overline{d}_{n,A}(a)) \right\} = \left\{ r : (Q \times \Sigma \times Q)^n \mid \text{Accp}_A^{(n)}(r) \right\} \end{aligned}$$

The following step intend to address the informality, in that the right-hand side is of the type  $\text{AccRun}_A^{(n)}$ , while the left-hand side has the super-type  $(Q \times \Sigma \times Q)^n$ . We do this by “up-casting”:

$$\begin{aligned} &\vdash && \left\{ r \mid \exists a. a = r \wedge d_{n,A}(\overline{m}_\delta^{(n)}(a)) = m_\delta^{(n)}(\overline{d}_{n,A}(a)) \right\} = \left\{ r : (Q \times \Sigma \times Q)^n \mid \text{Accp}_A^{(n)}(r) \right\} \\ r : (Q \times \Sigma \times Q)^n \vdash && \exists a. a = r \wedge d_{n,A}(\overline{m}_\delta^{(n)}(a)) = m_\delta^{(n)}(\overline{d}_{n,A}(a)) \iff \exists t. r \in m_\delta^n(\delta^n) \cap d_{n,A}(t) \end{aligned}$$

Descending back into irrigorousness, we can disregard the morphisms that serve as injections from subobjects,

$$\begin{aligned} r : (Q \times \Sigma \times Q)^n \vdash && \exists a. a = r \wedge d_{n,A}(\overline{m}_\delta^{(n)}(a)) = a \iff \exists t. r \in \delta^n \cap d_{n,A}(t) \\ r : (Q \times \Sigma \times Q)^n \vdash && \exists a : \text{AccRun}_A^{(n)}. r = d_{n,A}(\overline{m}_\delta^{(n)}(a)) \iff \exists t. r \in \delta^n \cap d_{n,A}(t) \end{aligned}$$

Using the property that in a pullback  $\text{Pb}(a, b)$ , for any  $a : A \rightarrow C$ ,  $b : B \rightarrow C$  and a fixed  $\beta : B$  (see below),

$$\gamma : C, \beta : B \vdash \exists \pi : \text{Pb}(a, b). a(\overline{b}(\pi)) = \gamma \iff \exists \alpha : A. \gamma \in a(\alpha) \cap b(\beta), \quad (\dagger)$$

we can conclude the proof with

$$\begin{aligned} r : (Q \times \Sigma \times Q)^n \vdash && \exists a : \text{AccRun}_A^{(n)}. r = a \iff \exists a : \text{AccRun}_A^{(n)}. r = d_{n,A}(\overline{m}_\delta^{(n)}(a)) \\ r : (Q \times \Sigma \times Q)^n \vdash && \exists a. r = a \iff \exists a. r = a \quad \blacksquare \end{aligned}$$

**Proof of the remaining assumption** It remains to argue that  $(\dagger)$  is a valid claim. The intuition is pullbacks in  $\mathcal{E}$  correspond to intersections in the internal logic of  $\mathcal{E}$ .

$$\gamma : C, \beta : B \vdash \exists \pi : \text{Pb}(a, b). a(\overline{b}(\pi)) = \gamma \iff \exists \alpha : A. \gamma \in a(\alpha) \cap b(\beta)$$

We begin by unfolding the definition of “ $\cap$ ”,

$$\gamma : C, \beta : B \vdash \exists \pi : \text{Pb}(a, b). a(\overline{b}(\pi)) = \gamma \iff \exists \alpha : A. \gamma \in \{ \zeta : C \mid \zeta \in a(\alpha) \wedge \zeta \in b(\beta) \}$$

and of

$$\text{Pb}(a, b) = \{ \varpi : C \mid a(\overline{b}(\varpi)) = b(\overline{a}(\varpi)) \},$$

we can take  $\alpha = \overline{b}(\varpi)$  for a  $\overline{a}(\varpi) = \beta$ . This gives us

$$\gamma : C, \beta : B \vdash \exists \varpi : C. \overline{a}(\varpi) = \beta \implies a(\overline{b}(\varpi)) = \gamma \iff \gamma \in a(\overline{b}(\varpi)) \wedge \gamma \in b(\beta)$$

**TODO**

**A different approach** Take  $\sigma_1 \dots \sigma_n : \Sigma^n \vdash$ , then

$$\begin{aligned}
& \sigma_1 \dots \sigma_n \in \text{Im}(\pi_2^n) \\
& \iff \sigma_1 \dots \sigma_n \in \left\{ \sigma_1 \dots \sigma_n \mid \exists a : \text{AccRun}_A^{(n)}. \sigma_1 \dots \sigma_n = \pi_2^n(a) \right\} \\
& \iff \exists a : \text{AccRun}_A^{(n)}. \sigma_1 \dots \sigma_n = \pi_2^n(a) \\
& \iff \exists a : \text{AccRun}_A^{(n)}. \sigma_1 \dots \sigma_n = \pi_2^n(a) \tag{\ddagger} \\
& \iff \exists r : (Q \times \Sigma \times Q)^n. \sigma_1 \dots \sigma_n = \pi_2^n(r) \wedge \text{Accp}_A^{(n)}(r) \\
& \iff \sigma_1 \dots \sigma_n \in \left\{ \sigma_1 \dots \sigma_n \mid \exists r : (Q \times \Sigma \times Q)^n. \sigma_1 \dots \sigma_n = \pi_2^n(r) \wedge \text{Accp}_A^{(n)}(r) \right\} \\
& \iff \sigma_1 \dots \sigma_n \in \mathfrak{L}^{(n)}(A)
\end{aligned}$$

where  $(\ddagger)$  requires

$$r : (Q \times \Sigma \times Q)^n \vdash \text{Accp}_A^{(n)}(r) \iff \exists a : \text{AccRun}_A^{(n)}. \iota_{(Q \times \Sigma \times Q)^n}(a) = r$$

to hold. Intuitively this should indicate that  $\text{Accp}_A^{(n)}$  is a faithful predicate, recognising if a  $r : (Q \times \Sigma \times Q)^n$  is part of the intersection of *legal* ( $m_\delta^n$ ) and *accepting* ( $d_{n,A}$ ) runs, i.e. in the pullback of the subobjects  $m_\delta^n$  and  $d_{n,A}$ .

Keeping in mind that for a  $A$ ,  $\delta^n$  is fixed, this is equivalent to stating that

$$r : (Q \times \Sigma \times Q)^n \vdash \exists t : I \times \dots \times F. r \in \underbrace{m_\delta^n(\delta^n)}_{\text{legal}} \cap \underbrace{d_{n,A}(t)}_{\text{acc.}} \iff \exists \pi : \text{Pb}(m_\delta^n, d_{n,A}). \bar{d}_{n,A}(\pi) = \delta^n \implies r = \pi$$

or generally for subobjects  $a : A \multimap C$ ,  $b : B \multimap C$  and a fixed  $b : B$ ,

$$\gamma : C, \beta : B \vdash \exists \alpha : A. \gamma \in a(\alpha) \cap b(\beta) \iff \exists \pi : \text{Pb}(a, b). \bar{a}(\pi) = \beta \implies \iota_C(\pi) = \gamma$$

(Note to self: Does  $\gamma \in a(\alpha) \cap b(\beta)$  even type? I don't think so, since  $a(\alpha) : C$ , and we cannot intersect on an element of  $C$ !) So the actual definition of  $\text{Accp}_A^{(n)}$  should be

$$\text{Accp}_A^{(n)}(r) := \exists a : I \times \dots \times F. d_{n,A}(a) = r$$